# Agenda Item 3



# STATE OF NEVADA COMMISSION ON ETHICS

http://ethics.nv.gov

# MINUTES of the meeting of the NEVADA COMMISSION ON ETHICS

The Commission on Ethics held a public meeting on Wednesday, May 18, 2022, at 9:00 a.m. at the following location:

Nevada State Capitol Building
Guinn Room
101 N. Carson Street, Second Floor
Carson City, NV 89701

### **Zoom Meeting Information**

https://us06web.zoom.us/j/86851349655?pwd=S1dYMVI3VkI1TkJpck05c0VEZnV0dz09

Zoom Meeting Telephone Number: 720-707-2699 \*
Meeting ID: 868 5134 9655
Passcode: 626514

These minutes constitute a summary of the above proceedings of the Nevada Commission on Ethics. A recording of the meeting is available for public inspection at the Commission's office.

### 1. Call to Order and Roll Call.

Chair Kim Wallin, CPA, CMA, CFM appeared in-person in the Guinn Room in Carson City and called the meeting to order at 9:00 a.m. Also appearing in-person were Vice-Chair Brian Duffrin and Commissioner Thoran Towler, Esq. Commissioners Barbara Gruenewald, Esq., Teresa Lowry, Esq., James Oscarson, Damian Sheets, Esq. and Amanda Yen, esq. appeared via videoconference. Present for Commission staff in Carson City were Executive Director Ross E. Armstrong, Esq., Commission Counsel Tracy L. Chase, Esq., and Executive Assistant Kari Pedroza. Associate Counsel Elizabeth J. Bassett, Senior Legal Researcher Darci Hayden and Investigator Erron Terry appeared via videoconference.

### 2. Public Comment.

There was no public comment.

### 3. Approval of Minutes of the April 20, 2022 Commission Meeting.

Chair Wallin stated that all Commissioners were present for the April Commission Meeting, except for Commissioner Sheets who was absent from the meeting and would abstain from participating on this item.

Commissioner Yen moved to approve the April 20, 2022 Commission Meeting Minutes as presented. Commissioner Towler seconded the motion. The Motion was put to a vote and carried as follows:

Chair Wallin: Aye. Vice-Chair Duffrin: Ave. Commissioner Gruenewald: Aye. Commissioner Lowry: Ave. Commissioner Oscarson: Aye. Commissioner Sheets: Abstain. Commissioner Towler: Ave. Commissioner Yen: Aye.

4. Approval of Minutes of the May 12, 2022 Legislative Subcommittee Meeting.

Chair Wallin stated that she served with Vice-Chair Duffrin and Commissioner Oscarson as members of the Legislative Subcommittee, and each were present at the May 12, 2022 Legislative Subcommittee meeting and only the Subcommittee members were permitted to act on approval of the subcommittee minutes.

Commissioner Oscarson moved to approve the May 12, 2022 Legislative Subcommittee Minutes as presented. Vice-Chair Duffrin seconded the motion. The Motion was put to a vote and carried as follows:

Chair Wallin: Aye. Vice-Chair Duffrin: Aye. Commissioner Oscarson: Aye.

5. Hearing on Subject's Motion to Dismiss in Case No. 19-126C regarding Qiong Liu, former City Manager, City of North Las Vegas including providing authority to the Chair of the Commission to prepare and issue the order reflecting the Commission's decision, in consultation with Commission Counsel.

Chair Wallin introduced the item and confirmed that Vice-Chair Duffrin and Commissioners Gruenewald and Sheets served as members of the Review Panel in this matter and would be precluded from participating in this item.

Chair Wallin asked the parties in the Complaint to identify themselves for the record. Associate Counsel Elizabeth J. Bassett, Esq. appeared on behalf of Executive Director Armstrong before the Commission in this matter and Andrea M. Champion, Esq. appeared on behalf of Dr. Qiong Liu, who was not in attendance but was provided proper notice of the Hearing and understood that the Commission would proceed in her absence.

On behalf of Dr. Liu, Counsel Andrea M. Champion, Esq. presented her client's Motion to Dismiss to the Commission citing perceived violations of the Ethics Law by the City of North Las Vegas and Complaint Requester Micaela Moore associated with revealing confidential materials that are protected by NRS 281A.685 and NRS 281A.750, as the basis for the dismissal.

Associate Counsel Elizabeth J. Bassett, Esq. presented the Executive Director's Opposition to the Motion to Dismiss explaining that the arguments included in Liu's Motion to Dismiss are not basis for dismissal as allowed by statute.

The Commissioners asked clarifying questions of Counsel Champion and Associate Counsel Bassett, and each provided those clarifications.

Commissioner Towler moved that Ms. Liu's Motion to Dismiss be denied and to provide the Chair of the Commission, in coordination with Commission Counsel, authority to draft and issue an order confirming the Commission's denial of the Motion. Commissioner Yen seconded the motion. The Motion was put to a vote and carried as follows:

Chair Wallin: Aye.

Vice-Chair Duffrin: Abstain. (Review Panel Member)

Commissioner Gruenewald: Abstain. (Review Panel Member)

Commissioner Lowry: Aye.

Commissioner Oscarson: Aye.

Commissioner Sheets: Abstain. (Review Panel Member)

Commissioner Towler: Aye. Commissioner Yen: Aye.

6. Report from the Commission's Legislative Subcommittee on the initial Bill Draft Request to be submitted in the 2023 Legislative Session to amend NRS Chapter 281A, and possible approval and direction thereon.

Chair Wallin introduced the item, noting that the Legislative Subcommittee comprised of herself, Vice-Chair Duffrin and Commissioner Oscarson met three times and thanked her fellow Subcommittee members and Commission staff for their efforts.

Executive Director Armstrong provided an overview of the Legislative Subcommittee's process and reviewed the proposed Bill Draft Request language provided in the meeting materials. He highlighted the Legislative Subcommittee's recommendations pertaining to abuse of power and timeframes for completion provisions.

Commissioners Towler and Lowry asked clarifying questions and Executive Director Armstrong and Commission Counsel Chase provide those clarifications.

Commissioner Sheets moved to approve the form of the bill draft as presented and as discussed. Commissioner Towler seconded the motion. The Motion was put to a vote and carried unanimously.

- 7. Report by Executive Director on agency status and operations, and possible direction thereon. Items to be discussed include, without limitation:
  - Outreach and Education
  - FY22/23 Budget Status
  - Commission Meeting Status
  - Website Updates

Outreach and Education: Executive Director Armstrong informed the Commission that he continues to experiment with opportunities to increase the Commission's social media presence and promote engagement. He shared that he recently attended a virtual Social Media Strategies Summit for Public Agencies and Government presented by the Global Strategic Management Institute. Executive Director Armstrong provided information on recent trainings conducted and upcoming trainings scheduled including presentations to the Nevada Silver State Health Exchange and the Humboldt County General Hospital in June.

Executive Director Armstrong shared that the work program pertaining to the Commission's utilization of American Rescue Plan Act funds to establish an online training system has been submitted to the Governor's Finance Office.

<u>FY22/23 Budget Status:</u> Executive Director Armstrong reported that the Commission continues to expend fiscal year 2022 funds as allocated and a work program has been developed

to transfer funds between categories to reconcile expected shortcomings for proposed travel expenses associated with staff training. He informed the Commission that the June Commission meeting will include year-end projections to wrap up the first fiscal year of the current biennium.

<u>Commission Meeting Status:</u> Executive Director Armstrong provided that the Commission is set to meet on June 15 and outlined the adjudicatory hearings currently scheduled throughout 2022 and 2023.

<u>Website Updates:</u> Executive Director Armstrong outlined the phases for website updates he implemented with the assistance of Senior Legal Researcher Hayden and Executive Assistant Pedroza.

Executive Director Armstrong reported that Executive Assistant Pedroza recently earned her Paralegal Studies certificate through the University of Nevada, Reno Extended Studies Program.

Vice-Chair Duffrin moved to accept the Executive Director's agency status report as presented. Commissioner Oscarson seconded the motion. The motion was put to a vote and carried unanimously.

8. <u>Discussion and feedback from Commissioners on development and preparation of the Commission's next Annual Report for fiscal year 2022.</u>

Chair Wallin introduced the item and asked the Executive Director for his presentation.

Executive Director Armstrong referenced the Commission's previous fiscal year's annual report, the Better Government Association 2016 annual report and the 2021 biennial report of the Public Utilities Commission of Nevada provided in the meeting materials. He requested Commissioner feedback pertaining to the reports provided and asked for suggestions in developing the Commission's next annual report.

The Commissioners commented that the next annual report be more succinct than previous years' annual reports, incorporate additional visual representations of case statistics and data as appendixes and that the language be simplified.

9. <u>Commissioner Comments on matters including, without limitation, identification of future agenda items, upcoming meeting dates and meeting procedures. No action will be taken under this agenda item.</u>

There were no Commissioner Comments.

#### 10. Public Comment.

Micaela Moore, the Requester in Ethics Complaint Case No. 19-126C, provided public comment regarding Agenda Item 5.

### 11. Adjournment.

Commissioner Towler made a motion to adjourn the public meeting. Commissioner Oscarson seconded the motion. The Motion was put to a vote and carried unanimously.

The meeting adjourned at 10:08 a.m.

Minutes prepared by:	Minutes approved June 15, 2022:
/s/ Kari Pedroza Kari Pedroza Executive Assistant	Kim Wallin, CPA, CMA, CFM Chair
/s/ Ross Armstrong Ross Armstrong, Esq. Executive Director	Brian Duffrin Vice-Chair

# Agenda Item 4



### BEFORE THE NEVADA COMMISSION ON ETHICS

In re **Steve Alford**, Head Men's Basketball Coach, University of Nevada, Reno, State of Nevada,

Ethics Complaint Case No. 20-064C

Subject	Subject.
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### DRAFT STIPULATED AGREEMENT

- **1. PURPOSE:** This Stipulated Agreement resolves Ethics Complaint Case No. 20-064C before the Nevada Commission on Ethics ("Commission") concerning Steve Alford ("Alford"), Head Men's Basketball Coach, University of Nevada, Reno.
- 2. <u>JURISDICTION:</u> At all material times, Alford served as the Head Men's Basketball Coach, University of Nevada, Reno and was a public employee as defined in NRS 281A.150. The Ethics in Government Law ("Ethics Law") set forth in NRS Chapter 281A gives the Commission jurisdiction over elected and appointed public officers and public employees whose conduct is alleged to have violated the provisions of NRS Chapter 281A. See NRS 281A.280. Accordingly, the Commission has jurisdiction over Alford in this matter.

### 3. PROCEDURAL HISTORY BEFORE COMMISSION:

- a. On or about September 8, 2020, the Commission received Ethics Complaint No. 20-064C ("Ethics Complaint"), alleging that Alford violated NRS 281A.400(1), (2), (3) and (10) and NRS 281A.420(1) and (3).
- b. On October 26, 2020, the Commission issued its *Order on Jurisdiction and Investigation* accepting jurisdiction and directing the Executive Director to investigate the allegations.
- c. On October 26, 2020, staff of the Commission issued a *Notice of Complaint* and *Investigation* under NRS 281A.720, stating that the Commission accepted jurisdiction to investigate the allegations regarding alleged violations of NRS 281A.400(1), (2), (3) and (10) and NRS 281A.420(1) and (3).

- d. On or about December 15, 2020, Alford, through his counsel, provided a response to the Ethics Complaint.
- e. On or about August 18, 2021, a three-member Review Panel determined the facts established credible evidence to support just and sufficient cause for the Commission to render an opinion in the matter and referred the Ethics Complaint to the Commission for further proceedings.
- f. In lieu of an adjudicatory hearing before the Commission, Alford and the Commission now enter into this Stipulated Agreement ("Agreement").

### 4. STIPULATED FACTS:

- a. Alford is the head Men's Basketball Coach for the University of Nevada, Reno ("UNR"), and as such is a public employee.
- b. Alford's son, Kory Alford ("Kory"), was formerly employed as the Director of Player Development for UNR's Men's Basketball team during the 2019-2020 basketball season.
- c. Beginning with the 2020-21 season, Kory was hired as the head Men's Basketball Coach at Huntington University, Indiana ("Huntington").
- d. UNR plays both conference games (18 games against member universities within the Mountain West Conference) and non-conference games. The purpose of non-conference games is to increase the competitiveness and national profile of the UNR Men's Basketball program.
- e. During the time period associated with this Ethics Complaint, UNR delegated the initial proposal of non-conference opponents to the head Men's Basketball Coach, with the final approval resting with UNR's Director of Athletics.
- f. UNR's non-conference games generally fall into three categories: (1) tournaments—for which each team pays an admission fee; (2) home games and home series—for which no financial consideration is exchanged; and (3) guarantee games—where one team pays a fee to the other team to travel to the home stadium of the paying team.
- g. For the 2020-21 season, the UNR Men's Basketball Team had a \$250,000 budget for its non-conference schedule.

- h. UNR's non-conference schedule originally included a guaranteed game against Southern Utah University, for which UNR would be paying \$85,000. Southern Utah cancelled due to COVID concerns.
- i. UNR and Huntington thereafter entered into a contract to fill the spot left by the Southern Utah cancellation ("Contract"). The Contract specified that the game would be played in Reno and that Huntington would be paid \$40,000, along with other non-monetary compensation, by UNR for the game.
- j. The Contract was executed by the athletic directors for each University and was also subsequently signed by the head coach of each Men's Basketball Team—Alford and his son Kory.
- k. The scheduled game between the Universities was ultimately cancelled because of the COVID-19 pandemic and no compensation was paid by UNR.
- I. Doug Knuth, UNR's Athletic Director at the time, attested that the amount of the compensation UNR agreed to pay to Huntington was less than or consistent with the amount UNR paid for other non-conference guarantee games where the opposing team traveled a similar distance as Huntington would have had to traveled to UNR.
- **5. TERMS / CONCLUSIONS OF LAW**: Based on the foregoing, Alford and the Commission agree as follows:
- a. Each of the stipulated facts enumerated in Section 4 of this Agreement is agreed to by the parties.
- b. Allegations that Alford violated NRS 281A.400(1), (2), (3), and (10) and NRS 281A.420(3) are hereby dismissed by stipulation of the parties.
- c. Alford's actions constitute a single course of conduct resulting in one violation of the Ethics Law, implicating the provisions of NRS 281A.420(1).
- d. Based upon the consideration and application of the statutory mitigating criteria set forth in NRS 281A.775, the Commission concludes that Alford's violation in this case should not be deemed a willful violation pursuant to NRS 281A.170 and the imposition of a civil penalty is not appropriate for the following reasons:
  - Seriousness of Violation: Alford failed to properly disclose his relationship to his son but never hid their relationship, which was well known within UNR as evidenced by the fact that UNR itself announced his son's hiring as head men's basketball coach at Huntington on April

- 14, 2020 (immediately prior to the time that the subject game was scheduled). The seriousness of the violation is further reduced because performance on the Contract was not completed.
- 2) Previous History: Alford has not previously been the subject of any violation of the Ethics Law or previous ethics complaints.
- 3) Cost of Investigation and Proceedings: Alford was diligent to cooperate with and participate in the Commission's investigation and resolution of this matter. His cooperation eliminated the costly expenses and time of depositions and extensive motion work.
- 4) Mitigating Factors Such as Self-Reporting or Correction: There was no self-reporting or self-correction in this matter. However, Alford's willingness to engage in the process has resulted in a better understanding of Nevada Ethics Law and how his actions were improper in this matter. Alford has also requested UNR revise the procedure for the approval and execution of future non-conference games to prevent future non willful violations.
- 5) Restitution Paid to Parties: The Contract was not completed, and no amounts were ever paid under the Contract.
- 6) Financial Gain from Violation: The Contract, even if completed, would not have resulted in any direct payments personally to either Alford or his son. Neither Alford nor his son received any financial benefit as a result of his conduct.
- 7) Other Information: The Contract itself, aside from being executed by father and son on behalf of their respective employers, was not outside the ordinary course of business for the basketball team and was for an amount less than the contract it replaced. In addition, Alford was not provided with any legal or ethical advice or training from UNR or its athletic or legal departments regarding how to properly handle the execution of the Contract with Huntington even though UNR's Athletic Director was aware that Huntington's head coach would be signing the Contract and was Alford's son.
- e. Alford agrees to complete ethics training available on the Commission's website within sixty (60) days of approval of this Agreement.
- f. The Commission admonishes Alford to familiarize himself with the Ethics Law for the purpose of making proper disclosures in the future and in furtherance of complying with the applicable requirements of the Ethics Law.

- g. This Agreement depends on and applies only to the specific facts, circumstances and law related to the Ethics Complaint now before the Commission. Any facts or circumstances that may come to light after its entry that are in addition to or differ from those contained herein may create a different resolution of this matter.
- h. This Agreement is intended to apply to and resolve only this specific proceeding before the Commission and is not intended to be applicable to or create any admission of liability for any other proceeding, including administrative, civil, or criminal, regarding Alford. If the Commission rejects this Agreement, none of the provisions herein shall be considered by the Commission or be admissible as evidence in a hearing on the merits in this matter.

### 6. WAIVER

- a. Alford knowingly and voluntarily waives his right to a hearing before the full Commission on the allegations in Ethics Complaint Case No. 20-064C and all rights he may be accorded with in regard to this matter pursuant to NRS Chapter 281A, the regulations of the Commission (NAC Chapter 281A), the Nevada Administrative Procedures Act (NRS Chapter 233B) and any other applicable provisions of law.
- b. Alford knowingly and voluntarily waives his right to any judicial review of this matter as provided in NRS Chapter 281A, NRS Chapter 233B or any other applicable provisions of law.
- **ACCEPTANCE:** We, the undersigned parties, have read this Stipulated Agreement, understand each and every provision therein, and agree to be bound thereby once approved by the Commission. In addition, the parties orally agreed to be bound by the terms of this agreement during the regular meeting of the Commission on <u>June 15</u>, 2022.<sup>1</sup>

DATED this	day of	, 2022.	/s/ DRAFT	
			Steve Alford	
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<sup>&</sup>lt;sup>1</sup> Subject waived any right to receive written notice pursuant to NRS 241.033 of the time and place of the Commission's meeting to consider his character, alleged misconduct, professional competence, or physical or mental health.

	FOR STEVE ALFORD, Subject
DATED this day of, 2022.	/s/ DRAFT Greg D. Ott, Esq. Counsel for Subject
	FOR ROSS E. ARMSTRONG, ESQ. Executive Director Nevada Commission on Ethics
DATED this day of, 2022.	/s/ DRAFT Elizabeth J. Bassett, Esq. Associate Counsel Nevada Commission on Ethics
Approved as to form by:	FOR NEVADA COMMISSION ON ETHICS
DATED this day of, 2022.	/s/ DRAFT Tracy L. Chase, Esq. Commission Counsel
The above Stipulated Agreement is accepte	ed by the Nevada Commission on Ethics: 2
DATED this day of, 2022.	
By: /s/ DRAFT Kim Wallin, CPA, CMA, CFM Chair	By: /s/ DRAFT Damian R. Sheets, Esq. Commissioner
By: <u>/s/ DRAFT</u> Thoran Towler Commissioner	By: <u>/s/ DRAFT</u> Teresa Lowry, Esq. Commissioner
By: /s/ DRAFT Amanda Yen, Esq. Commissioner	

<sup>&</sup>lt;sup>2</sup>Vice-Chair Duffrin and Commissioners Gruenewald and Oscarson participated in the Review Panel hearing and are therefore precluded from participating in this Stipulated Agreement pursuant to NRS 281A.220(4).



### **NEVADA COMMISSION ON ETHICS**

### ETHICS COMPLAINT

Sec. 3.6 to 13, inclusive, of S.B. 84 (2017)

1. Provide the following information for the <u>public officer or employee</u> you allege violated the Nevada Ethics in Government Law, NRS Chapter 281A. (If you allege that more than one public officer or employee has violated the law, use a separate form for each individual.)

Name: (Last, First)	Alford, Steve		Title of Public Office: (Position)	Head Basketball Coach
Public Entity: (Name of the entity employing this position)	University o	f Nevada, Ren	0	
Address:			City, State, Zip Code:	
Telephone:	Work:	Other (home/cell):	Email:	Salford@unr.edu

2. Describe in specific detail the public officer's or employee's conduct that you allege violated NRS Chapter 282A. (Include specific facts and circumstances to support your allegation: times, places, and the name and position of each person involved.)

If you read the article, it gives you all the evidence you need. Why would UNR pay the school \$40k when the other D1 school is getting \$4k? Only because it is his son. It is wrong and in black and white in the newspaper. Alford said: "The bottom line is Kory is a former player and former coach," Alford said. "I have always taken care of my former players and my former coaches. I can't help that he has the same last name. He played for me and coached for me, and any former player I'm going to take care and do half of what we normally do. We normally pay teams \$80,000 to \$90,000. That's our going rate. Huntington is \$40,000. St. Katherine's is at the same level, and they were actually going to come here for free. They just wanted a game, and they're in California and can drive. For Kory, it's not drivable, so it wouldn't make any sense for \$4,000. I'm trying to help my former players out and former coaches by helping their program. I don't want them to lose money coming here."

http://nevadasportsnet.com/news/reporters/murrays-mailbag-why-is-nevada-paying-an-naia-school-40000-to-come-to-reno

<sup>3.</sup> Is the <u>alleged conduct</u> the subject of any action or matter currently pending before another administrative or judicial body? If yes, describe:

## Read the article attached. He is paying his son's team 10 times what the going market for the team is.

4. NRS 281A requires public officers and employees to hold public office as a public trust and avoid conflicts between public duties and private interests. (NRS 281A.020) What provisions of NRS Chapter 281A are relevant to the conduct alleged? **Please check all that apply.** 

▼ NRS 281A.400(1)	Seeking or accepting any gift, service, favor, employment, engagement, emolument or economic opportunity for himself or person to whom he has a commitment in a private capacity which would tend improperly to influence a reasonable person in his position to depart from the faithful and impartial discharge of his public duties.
▼ NRS 281A.400(2)	Using his position in government to secure or grant unwarranted privileges, preferences, exemptions or advantages for himself, any business entity in which he has a significant pecuniary interest, or any person to whom he has a commitment in a private capacity.
▼ NRS 281A.400(3)	Participating as an agent of government in the negotiation or execution of a contract between the government and himself, and any business entity in which he has a significant pecuniary interest or any person to whom he has a commitment in a private capacity.
▼ NRS 281A.400(4)	Accepting any salary, retainer, augmentation, expense allowance or other compensation from any private source for himself or any person to whom he has a commitment in a private capacity for the performance of his duties as a public officer or employee.
□ NRS 281A.400(5)	Acquiring, through his public duties or relationships, any information which by law or practice is not at the time available to people generally, and using the information to further the pecuniary interests of himself or any other person or business entity.
☐ NRS 281A.400(6)	Suppressing any governmental report or other document because it might tend to affect unfavorably his pecuniary interests, or any person to whom he has a commitment in a private capacity.
□ NRS 281A.400(7)	Using governmental time, property, equipment or other facility to benefit his significant person or financial interest, or any person to whom he has a commitment in a private capacity. (Some exceptions apply)
□ NRS 281A.400(8)	A State Legislator using governmental time, property, equipment or other facility for a nongovernmental purpose or for the private benefit of himself or any other person, or requiring or authorizing a legislative employee, while on duty, to perform personal services or assist in a private activity. (Some exceptions apply)
□ NRS 281A.400(9)	Attempting to benefit his personal or financial interest or any person to whom he has a commitment in a private capacity through the influence of a subordinate.
☐ NRS 281A.400(10)	Seeking other employment or contracts for himself or any person to whom he has a commitment in a private capacity through the use of his official position.
□ NRS 281A.400(1)	Representing or counseling a private person for compensation on an issue pending before the agency while employed, or within 1 year after leaving the service of the agency, including before any state agency of the Executive or Legislative Department. (State and local legislators and part time public officers and employees may represent/counsel private persons before agencies they do not serve, except local legislators may not represent/counsel private persons before other local agencies within the same county.)
□ NRS 281A.420(1)	Failing to sufficiently disclose his acceptance of a gift or loan, pecuniary interest, or commitment in a private capacity to the interest of another person that is reasonably affected by an official matter.
□ NRS 281A.420(3)	Failing to abstain from acting on an official matter which is materially affected by his acceptance of a gift or loan, pecuniary interest, or commitment in a private capacity to the interest of another person.
☐ NRS 281A.430	Negotiating, bidding on or entering into a government contract in which he has a significant pecuniary interest.
☐ NRS 281A.500	Failing to file or timely file a Nevada Acknowledgement of Ethical Standards for Public Officers form.
☐ NRS 281A.510	Accepting or receiving an improper honorarium.
□ NRS 281A.520	Requesting or otherwise causing a governmental entity to incur an expense or make an expenditure to support or oppose a ballot question or candidate during the relevant timeframe.

☐ NRS 281A.550	Negotiating or accepting employment from a business or industry regulated by or contracted with former public agency within one year after leaving the service of the agency. (Failing to honor the applicable "cooling off" period after leaving public service).
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- 1. Spouse; domestic partner
- 2. Household member
- 3. Family member within 3<sup>rd</sup> degree of consanguinity
- 4. Employer or spouses/domestic partners employer
- 5. Substantial and continuing business partner/associate
- 6. Substantially similar relationships
- **5**. YOU MUST SUBMIT EVIDENCE TO SUPPORT YOUR ALLEGATIONS. Attach all documents or items you believe support your allegations. NAC 281A.400(6) defines evidence which supports the allegation as any reliable and competent form of proof provided by witnesses, public and private records, audio or visual recordings, documents, exhibits, concrete objects, and such forms of proof that support a reasonable belief in the truth of the allegation. A newspaper article or other media report will not support your allegations if it is offered by itself, but may be included with evidence that corroborates the article on report.
- **6.** <u>Witnesses</u>: Identify all persons who have knowledge of the facts and circumstances you have described, <u>as well as the nature of the testimony</u> the person will provide.

Name and Title:				
Address:			City, State, Zip:	, NV
Telephone:	Work:	Other (home/cell):	Email:	
Nature of Testimony:	The newspaper article speaks to the issue.			

#### 7. Requesters Information:

Your Name:				
Your Address:			City, State, Zip:	
Your Telephone:	Day:	Evening:	Email:	

Pursuant to Sec. 8 of S.B. 84, I request that my identity as the requester of this Ethics Complaint remain confidential because (please check appropriate box)

OR

□I can show a reasonable likelihood that disclosure of my identity will subject me or a member of my household to a bona fide threat of physical force or violence. Describe the facts and circumstances which support a reasonable likelihood of a bona fide threat of physical force or violence.

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<sup>\*</sup>Pursuant to NRS 281A.065, a public officer or employee has a commitment in a private capacity to the following persons:

<sup>\*</sup> NOTE: Your identity as the Requester and a copy of this Complaint will be provided to the Subject if the Commission accepts jurisdiction of the matter, unless:

The Commission may decline to maintain the confidentiality of your identity as the Requester for lack of sufficient evidence of your employment status with the same public body, agency or employer, or proof of a bonafide threat of physical harm.

If the Commission declines to maintain my confidentiality, I wish to:

Withdraw my Complaint, OR

Submit the Complaint understanding that the Subject will know my identity as the Requester.

By my signature below, I affirm that the facts set forth in this document and all of its attachments are true and correct to the best of my knowledge and belief. I am willing to provide sworn testimony regarding these allegations. I acknowledge that, pursuant to NRS 281A, this Ethics Complaint, the materials submitted in support of the allegations, and the Commissions investigation are confidential unless and until the Commissions Review Panel renders a determination. The Commission's Investigatory File remains confidential.

	Date:	09-08-2020
Signature: Print Name:		

You must submit this form bearing your signature to:

Executive Director

Nevada Commission on Ethics
704 W. Nye Lane, Suite 204
Carson City, Nevada 89703
Or through the Commissions website: www.ethics.nv.gov



### STATE OF NEVADA

#### BEFORE THE NEVADA COMMISSION ON ETHICS

In re **Steve Alford**, Head Men's Basketball Coach, University of Nevada, Reno, State of Nevada,

Ethics Complaint Case No. 20-064C

Subject. /

### REVIEW PANEL DETERMINATION AND REFERRAL ORDER

NRS 281A.730; NAC 281A.440

The Nevada Commission on Ethics ("Commission") received this Ethics Complaint on September 8, 2020, regarding the alleged conduct of Subject Steve Alford ("Alford"). On October 26, 2020, the Commission instructed the Executive Director to investigate alleged violations of NRS 281A.400(1), (2), (3) and (10) and 281A.420.

Alford is a public employee as defined in NRS 281A.150, and the Commission has jurisdiction over this matter pursuant to NRS 281A.280 because the allegations contained in the Complaint relate to Alford's conduct as a public employee and have associated implications under the Ethics Law.

On August 18, 2021, a Review Panel ("Panel") consisting of Vice-Chair Brian Duffrin (Presiding Officer) and Commissioners Barbara Gruenewald, Esq. and James Oscarson reviewed the following: (1) Ethics Complaint No 20-064C (2) Order on Jurisdiction and Investigation in Ethics Complaint No. 20-064C; (3) Alford's Response to the Complaint; and (4) Executive Director's Recommendation to the Review Panel with Summary of Investigatory Findings.<sup>1</sup>

The Review Panel unanimously finds and concludes that the facts establish credible evidence to support a determination that just and sufficient cause exists for the Commission to render an opinion in the matter regarding the alleged violations of NRS 281A.400(1), (2), (3) and (10), and NRS 281A.420(1) and (3).

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<sup>&</sup>lt;sup>1</sup>All materials provided to the Panel, except the Ethics Complaint and the Order on Jurisdiction and Investigation, represent portions of the investigatory file and remain confidential pursuant to NRS 281A.750.

### IT IS HEREBY ORDERED:

Based upon the just and sufficient cause determination, the Review Panel refers Ethics Complaint No. 20-064C to the Commission for further proceedings, which may include rendering an opinion on whether Alford violated NRS 281A.400(1), (2), (3), and (10), and NRS 281A.420(1) and (3).

Dated this 18th day of August, 2021.

REVIEW PANEL OF THE NEVADA COMMISSION ON ETHICS

By: /s/ Brian Duffrin By: /s/ James Oscarson Brian Duffrin James Oscarson Vice-Chair/Presiding Officer Commissioner

By: <u>/s/ Barbara Gruenewald</u>
Barbara Gruenewald, Esq.

Commissioner

### **CERTIFICATE OF MAILING**

I certify that I am an employee of the Nevada Commission on Ethics and that on this day in Carson City, Nevada, I transmitted a true and correct copy of the foregoing **REVIEW PANEL DETERMINATION AND REFERRAL ORDER** via U.S. Certified Mail and electronic mail addressed as follows:

Steve Alford c/o Gregory D. Ott, Esq. Chief Deputy Attorney General State of Nevada Office of the Attorney General 100 North Carson Street Carson City, NV 89701

Certified Mail No.: 9171 9690 0935 0037 6363 47

Email: gott@ag.nv.gov

Dated: 8/1	8/19/21	t weit our
· <u></u>		Employee Nevada Commission on Ethics



### STATE OF NEVADA BEFORE THE NEVADA COMMISSION ON ETHICS

In re <b>Steve Alford</b> , Head Men's Basketball Coach, University of Nevada, Reno, State of Nevada,	Ethics Complaint Case No. 20-064C
Subject. /	

# WAIVER OF NOTICE REQUIRED UNDER NRS 241.033(1) TO CONSIDER CHARACTER, MISCONDUCT, OR COMPETENCE OF SUBJECT IN ETHICS COMPLAINT PROCEEDINGS

The Nevada Commission on Ethics ("Commission") may be holding various hearings, meetings, judicial review or appellate proceedings and other proceedings to consider the Subject's character, misconduct or competence as related to the above referenced Consolidated Ethics Complaints (collectively "Proceedings"). If the Proceedings are not exempt from Nevada's Open Meeting Law pursuant to NRS Chapters 241 or 281A, NRS 241.033(1) requires notice be personally served on Subject of the time and place of the meeting at least 5 working days before the meeting or sent by certified mail to the last known address at least 21 working days before the meeting. Subject agrees to comply with all noticed deadlines and scheduled dates for the Proceedings, including those noticed by a posted public agenda, scheduled with the parties or set forth in a Notice of Hearing and Scheduling Order and other issued Orders, including any amendments thereto.

I, Steve Alford, understand the statutory notice requirements of NRS 241.033 and hereby knowingly and voluntarily waive my rights thereto associated with any Proceedings. In doing so, I expressly consent to any discussion of my qualifications, competence and character in the Proceedings. Prior to signing this waiver, I either had the opportunity to discuss this matter with my attorney or have voluntarily determined to proceed on my own accord, thereby waiving the right to consult with an attorney.

Dated	d this 9th	day of	September	2021.
By:	Steve Alford	20:46 EDT)		



### STATE OF NEVADA

### **BEFORE THE NEVADA COMMISSION ON ETHICS**

In re	Steve	Alford,	Head	Men's	Basketball
Coad	ch, Uni	versity o	f Neva	ada, Re	eno,
State	of Ne	vada.		-	-

Ethics Complaint Case No. 20-064C

Subject. /

### NOTICE OF HEARING TO CONSIDER STIPULATED AGREEMENT NRS 281A.745

PLEASE TAKE NOTICE, the Nevada Commission on Ethics ("Commission") will hold a public meeting to consider a <u>Proposed Stipulated Agreement</u> regarding the allegations submitted in Ethics Complaint No 20-064C at the following time and location:

When: Wednesday, June 15, 2022 at 9:30 a.m.

Where: Nevada State Capitol Building

Guinn Room

101 N. Carson Street, Second Floor

Carson City, NV 89701

And via Zoom at:

https://us06web.zoom.us/j/87947628267?pwd=aG4wNFVldXZTdWgxc3l0dWJaMDVPUT09 Zoom Meeting Telephone Number: 720-707-2699

> Meeting ID: 879 4762 8267 Passcode: 967863

Subject has waived the personal notice requirements of NRS 241.033 (Nevada's Open Meeting Law). If the Proposed Stipulated Agreement is approved, it will serve as the final written opinion in this matter pursuant to NRS 281A.135.

DATED:	June 8, 2022	/s/ Tracy L. Chase
		Tracy L. Chase, Esq. Commission Counsel

### **CERTIFICATE OF SERVICE**

I certify that I am an employee of the Nevada Commission on Ethics and that on this day in Carson City, Nevada, I transmitted a true and correct copy of the foregoing **Notice of Hearing** via electronic mail to the Parties and counsel of record, as follows:

### **Executive Director:**

100 North Carson Street Carson City, NV 89701

Ross Armstrong, Esq. Email: <a href="mailto:ramstrong@ethics.nv.gov">ramstrong@ethics.nv.gov</a>
Executive Director

Elizabeth J. Bassett, Esq. Email: <u>ebassett@ethics.nv.gov</u>

Associate Counsel
Kari Pedroza, Executive Assistant cc: k.pedroza@ethics.nv.gov

704 W. Nye Lane, Suite 204 Carson City, NV 89703

### Subject:

Steve Alford
c/o Gregory D. Ott, Esq. Email: GOtt@ag.nv.gov
Chief Deputy Attorney General
James A. Bolotin, Esq. Email: JBolotin@ag.nv.gov

Senior Deputy Attorney General
Office of the Attorney General
State of Nevada

DATED: June 8, 2022

Employee of the Nevada Commission on Ethics

# Agenda Item 5



BEFORE THE NEVADA COMMISSION ON ETHICS

In re **Kory Alford**, Former Director of Player Development, University of Nevada, Reno, State of Nevada.

Ethics Complaint Case No. 22-038C

Subject./

### DRAFT STIPULATED AGREEMENT

- PURPOSE: This Stipulated Agreement resolves Ethics Complaint Case No.
   22-038C before the Nevada Commission on Ethics ("Commission") concerning Kory
   Alford ("Alford"), Former Director of Player Development, University of Nevada, Reno.
- 2. JURISDICTION: At all material times, Alford served as the Director of Player Development, University of Nevada, Reno and was a public employee as defined in NRS 281A.150. The Ethics in Government Law ("Ethics Law") set forth in NRS Chapter 281A gives the Commission jurisdiction over elected and appointed public officers and public employees whose conduct is alleged to have violated the provisions of NRS Chapter 281A. See NRS 281A.280. Accordingly, the Commission has jurisdiction over Alford in this matter.

### 3. PROCEDURAL HISTORY BEFORE COMMISSION

- a. On April 19, 2022, the Commission issued its *Order Initiating Ethics Complaint, Accepting Jurisdiction and Directing an Investigation* in Ethics Complaint No. 22-038C ("Ethics Complaint"), alleging that Alford violated NRS 281A.400(3), (5), (7), and (10) and NRS 281A 420(1).
- b. On April 19, 2022, staff of the Commission issued a *Notice of Complaint* and *Investigation* under NRS 281A.720(2), stating the allegations.
- c. In lieu of an adjudicatory hearing before the Commission, Alford and the Commission now enter into this Stipulated Agreement.

- **4. STIPULATED FACTS:** At all material times, the following facts were relevant to this matter:<sup>1</sup>
- a. Kory Alford ("Alford") served as the Director of Player Development at the University of Nevada, Reno ("UNR") from May 2019 until April 30, 2020.
- b. On April 11, 2020, Alford accepted the position of Head Men's Basketball Coach at Huntington University ("Huntington"), a private university in Indiana.
- c. After accepting the Huntington position but while still employed at UNR<sup>2</sup>, Alford and his father, UNR's Men's Basketball Head Coach Steve Alford ("Steve"), negotiated a contract between Huntington and UNR for a non-conference guarantee basketball game for which UNR was to pay Huntington's Men's Basketball team to travel to UNR ("Contract").
- d. UNR's non-conference schedule originally included a guaranteed game against Southern Utah University, for which UNR would be paying \$85,000. Southern Utah cancelled due to COVID concerns.
- e. The Huntington game contemplated by the Contract replaced this game. The Contract called for payment by UNR to Huntington of \$40,000, 20 free hotel nights, and 50 complimentary game tickets.
- f. On April 14, 2020, Alford's new role at Huntington was publicly announced, including through a press release on UNR's website. On or about that same day, Alford sent an email to Matthew Smith ("Smith"), UNR's Assistant Athletics Director for Finance, informing Smith of the UNR Men's Basketball schedule, including the terms of the Contract for the non-conference guarantee game between Huntington and UNR.
- g. On May 1, 2020, Alford began his position as Huntington's Head Men's Basketball Coach.
- h. The Contract was first signed by Huntington Athletic Director Lori Culler on April 30, 2020, and by Alford on May 1, 2020, his first day of employment by Huntington. The Contract was then transmitted to UNR, where it was first signed by then-UNR Athletic

<sup>2</sup> Following his acceptance of the position at Huntington, which role was to commence on May 1, 2020, it was suggested to Alford by UNR's then-Athletic Director Doug Knuth that Alford should resign effective as of April 30, 2020.

<sup>&</sup>lt;sup>1</sup> Stipulated Facts do not constitute part of the "Investigative File" as that term is defined by NRS 281A.775. All statutory and common law protections afforded to the Investigative File shall remain and are not affected by this Stipulated Agreement.

Director Doug Knuth on May 1, 2020, and subsequently by Steve with an undated signature.

- i. There was no performance of the Contract, and the game was never played due to Covid-19 issues.
- **5. TERMS / CONCLUSIONS OF LAW**: Based on the foregoing, Alford and the Commission agree as follows:
- a. Each of the stipulated facts enumerated in Section 4 of this Stipulated Agreement ("Agreement") is agreed to by the parties.
- b. Allegations that Alford violated NRS 281A.400(3), (5) and (7) and NRS 281A.420(1) are hereby dismissed by stipulation of the parties.
- c. Alford's actions constitute a single course of conduct resulting in one violation of the Ethics Law, implicating the provisions of NRS 281A.400(10).
- d. Based upon the consideration and application of the statutory mitigating criteria set forth in NRS 281A.775, the Commission concludes that Alford's violation in this case should not be deemed a willful violation pursuant to NRS 281A.170 and the imposition of a civil penalty is not appropriate for the following reasons:
  - 1) Seriousness of Violation: Alford was employed by UNR at the time he sought the Contract for his future employer Huntington. However, with UNR's consent Alford had already removed his belongings from his office and had commenced relocating to Indiana and had terminated duties related to UNR other than assisting Steve with finalizing UNR's 2020-21 schedule (which included securing the Contract with Huntington to replace the cancelled game against Southern Utah). He did not hide or attempt to conceal the Contract and he did not sign the Contract on behalf of both parties but waited until his official start date at Huntington to do so. The seriousness of the violation is further reduced because performance of the Contract was not completed.
  - 2) Previous History: Alford has not previously been the subject of any violation of the Ethics Law or previous ethics complaints.
  - 3) Cost of Investigation and Proceedings: Alford was diligent to cooperate with and participate in the Commission's investigation and resolution of this matter, including waiving his right to a review panel. His cooperation eliminated the costly expenses and time of investigation, interviews, depositions, and extensive motion work.

- 4) Mitigating Factors Such as Self-Reporting or Correction: There was no self-reporting or self-correction in this matter. However, Alford's willingness to engage in the process has resulted in a better understanding of Nevada Ethics Law and how his actions were improper in this matter.
- 5) Restitution Paid to Parties: Performance of the Contract was not completed, and no amounts were ever paid under the Contract.
- 6) Financial Gain from Violation: Neither Alford nor Steve received any direct financial benefit as a result of his conduct, and neither would have directly received any personal payment under the terms of the Contract had it been performed in full.
- 7) Other Information: The Contract itself, aside from being executed by father and son on behalf of their respective employers, was not outside the ordinary course of business for the basketball team and was for an amount less than the Southern Utah game contract it replaced. In addition, Alford was not provided with any legal or ethical advice or training from UNR or its athletic or legal departments regarding how to properly handle the execution of the Contract with Huntington even though UNR's Athletic Director was aware that Alford would be signing the Contract and was Steve's son.
- e. Alford will complete ethics training in Indiana, where he is now employed, within sixty (60) days of approval of this Agreement.
- f. Alford agrees to write a letter to the UNR President and Athletic Director, copied to the Commission, encouraging UNR's Athletic Department to adopt an ethics training program so that other public employees are provided with appropriate training to avoid similar ethics violations in the future.
- g. Alford acknowledges that his actions in negotiating the Contract on behalf of Huntington while still employed by UNR violated NRS 281A.400(10) and that Athletic Departments are not exempt from the provisions of Nevada's Ethics Law. Further, he accepts the admonishment issued by the Commission in this matter.
- h. Pursuant to its authority under NRS 281A.785(1)(b) the Commission hereby issues an admonishment of Alford for his conduct related to his violation of the Nevada Ethics Law in this matter.
- i. This Agreement depends on and applies only to the specific facts, circumstances and law related to the Ethics Complaint now before the Commission. Any

facts or circumstances that may come to light after its entry that are in addition to or differ from those contained herein may create a different resolution of this matter.

j. This Agreement is intended to apply to and resolve only this specific proceeding before the Commission and is not intended to be applicable to or create any admission of liability for any other proceeding, including administrative, civil, or criminal, regarding Alford. If the Commission rejects this Agreement, none of the provisions herein shall be considered by the Commission or be admissible as evidence in a hearing on the merits in this matter.

### 6. WAIVER

- a. Alford has signed a Waiver of Notice Required under NRS 241.033(1) to Consider Character, Misconduct or Competence of Subject in Ethics Complaint Proceedings and a Waiver of A Determination by Review Panel.
- b. Alford knowingly and voluntarily waives his right to a hearing before the full Commission on the allegations in Ethics Complaint Case No. 22-038C and all rights he may be accorded with regard to this matter pursuant to NRS Chapter 281A, the regulations of the Commission (NAC Chapter 281A), the Nevada Administrative Procedures Act (NRS Chapter 233B) and any other applicable provisions of law.
- c. Alford knowingly and voluntarily waives his right to any judicial review of this matter as provided in NRS Chapter 281A, NRS Chapter 233B or any other applicable provisions of law.
- **ACCEPTANCE:** We, the undersigned parties, have read this Stipulated Agreement, understand each and every provision therein, and agree to be bound thereby once approved by the Commission. In addition, the parties orally agreed to be bound by the terms of this Agreement during the regular meeting of the Commission on <u>June 15</u>, <u>2022</u>.

DATED this	day of	<u>,</u> 2022.	/s/ DRAFT	
			Kory Alford	
///				
///				

**Executive Director** Nevada Commission on Ethics /s/ DRAFT Elizabeth J. Bassett, Esq. DATED this \_\_\_\_\_ day of \_\_\_\_\_, 2022. Associate Counsel Nevada Commission on Ethics Approved as to form by: FOR NEVADA COMMISSION ON ETHICS DATED this \_\_\_\_\_ day of \_\_\_\_\_, 2022. /s/ DRAFT Tracy L. Chase, Esq. Commission Counsel The above Stipulated Agreement is accepted by the Nevada Commission on Ethics: DATED this \_\_\_\_\_ day of \_\_\_\_\_, 2022. James Oscarson By: /s/ DRAFT By: <u>/s/ DRAFT</u> Kim Wallin, CPA, CMA, CFM Commissioner Chair By: <u>/s/ DRAFT</u> By: <u>/s/ DRAFT</u> Brian Duffrin Damian R. Sheets, Esq. Vice-Chair Commissioner By: <u>/s/ DRAFT</u> Barbara Gruenewald, Esq. By: <u>/s/ T DRAFT</u> Thoran Towler Commissioner Commissioner By: /s/ DRAFT By: /s/ DRAFT Teresa Lowry, Esq. Amanda Yen, Esq.

FOR ROSS E. ARMSTRONG, ESQ.

Commissioner

Commissioner



# NEVADA COMMISSION ON ETHICS ETHICS COMPLAINT

NRS 281A.700 to 281A.790

1. Alford OF THE COMPLAINT (person you allege violated provisions of NRS Chapter 281A, the Nevada Ethics in Government Law. *(Please use a separate form for each individual.)* 

Alford NAME:			TITLE OF PUB OFFICE: (Position)	LIC		
PUBLIC ENTITY: (Name of the entity employing this position)						
ADDRESS:			CITY, STATE, ZIP CODE			
TELEPHONE:	Work:	Other: (Home, cell)	EMAIL:			
	pecific facts	of the public officer or ences to and circumstances to n involved.)				
Check here if add	ditional page	es are attached.				
. Is the alleged cond describe:	uct <u>currentl</u> y	y pending before another	administrative,	law enfo	orcement or judici	ial body? If yes

**4.** NRS Chapter 281A requires public officers and employees to hold public office as a public trust and avoid conflicts between public duties and private interests. (NRS 281A.020) What provisions of NRS Chapter 281A are relevant to the conduct alleged? Please check all that apply.

Statute	Statutory Summary:
NRS 281A.400(1)	Seeking or accepting any gift, service, favor, employment, engagement, emolument or economic opportunity for himself or person to whom he has a commitment in a private capacity which would tend improperly to influence a reasonable person in his position to depart from the faithful and impartial discharge of his public duties.
NRS 281A.400(2)	Using his position in government to secure or grant unwarranted privileges, preferences, exemptions or advantages for himself, any business entity in which he has a significant pecuniary interest, or any person to whom he has a commitment in a private capacity.
NRS 281A.400(3)	Participating as an agent of government in the negotiation or execution of a contract between the government and himself, any business entity in which he has a significant pecuniary interest or any person to whom he has a commitment in a private capacity.
NRS 281A.400(4)	Accepting any salary, retainer, augmentation, expense allowance or other compensation from any private source for himself or any person to whom he has a commitment in a private capacity for the performance of his duties as a public officer or employee.
NRS 281A.400(5)	Acquiring, through his public duties or relationships, any information which by law or practice is not at the time available to people generally, and using the information to further the pecuniary interests of himself or any other person or business entity.
NRS 281A.400(6)	Suppressing any governmental report or other document because it might tend to affect unfavorably his pecuniary interests or the interests of any person to whom he has a commitment in a private capacity.
NRS 281A.400(7)	Using governmental time, property, equipment or other facility to benefit his significant personal or pecuniary interest, or any person to whom he has a commitment in a private capacity. (Some exceptions apply).
NRS 281A.400(8)	A State Legislator using governmental time, property, equipment or other facility for a nongovernmental purpose or for the private benefit of himself or any other person, or requiring or authorizing a legislative employee, while on duty, to perform personal services or assist in a private activity. (Some exceptions apply).
NRS 281A.400(9)	Attempting to benefit his personal or pecuniary interest or the interests of any person to whom he has a commitment in a private capacity through the influence of a subordinate.
NRS 281A.400(10	Seeking other employment or contracts for himself or any person to whom he has a commitment in a private capacity through the use of his official position.
NRS 281A.410	Representing or counseling a private person for compensation on an issue pending before a public agency while employed, or within 1 year after leaving the service of a public agency, including before any state agency of the Executive or Legislative Department. (State and local legislators and part-time public officers and employees may represent/counsel private persons before agencies they do not serve, except local legislators may not represent/counsel private persons before other local agencies within the same county.)
NRS 281A.420(1)	Failing to sufficiently disclose his acceptance of a gift or loan, pecuniary interest, commitment in a private capacity to the interest of another person or the nature of any representatiation or counseling provided to a private person for compensation before another agency in the preceding year that is reasonably affected by an official matter.
NRS 281A.420(3)	Failing to abstain from acting on an official matter which is materially affected by his acceptance of a gift or loan, pecuniary interest, or commitment in a private capacity to the interest of another person.
NRS 281A.430 Bidding on or entering into a government contract in which he has a significant pecuniary interest. (Some exce	
NRS 281A.500	Failing to file or timely file a Nevada Acknowledgment of Ethical Standards for Public Officers form.
NRS 281A.510	Accepting or receiving an improper honorarium.
NRS 281A.520	Requesting or otherwise causing a governmental entity to incur an expense or make an expenditure to support or oppose a ballot question or candidate during the relevant timeframe.
NRS 281A.550	Negotiating or accepting employment from a business or industry regulated by or contracted with former public agency within one year after leaving service of the agency. (Failing to honor the one-year "cooling off" period after leaving public service, exceptions).

<sup>\*</sup>Pursuant to NRS 281A.065, a public officer or employee has a commitment in a private capacity to the following persons/entities:

- 1. Spouse; domestic partner.
- 2. Household member.
- 3. Family member within 3<sup>rd</sup> degree of consanguinity/affinity.
- 4. Employer or spouse/domestic partner/household member's employer.
- 5. Substantial and continuing business relationships, i.e. partner, associate, or business entity.
- 6. Substantially similar relationships to those listed above, including close, personal relationships akin to family and fiduciary relationships to business entities.

Ethics Complaint Page 2 of 4

5.	YOU MUST SUBMIT EVIDENCE TO SUPPORT YOUR ALLEGATIONS. (NRS 281A.710 through 281A.715.) Attach all documents or items you believe support your allegations, including witness statements, public or private records, audio or visual recordings, documents, exhibits, concrete objects, or other forms of proof.				
	State the total	number of ad	ditional pages attached (i	including evidence)	)
6.			who have knowledge of to the person will provide.		nstances you have described, <u>as well</u> if additional pages are attached.
	AME and TITLE: erson #1)				
	DDRESS:			CITY, STATE, ZIP	
TE	ELEPHONE:	Work:	Other: (Home, cell)	E-MAIL:	
	ATURE OF STIMONY:				
	AME and TITLE: erson #2)				
	DDRESS:			CITY, STATE, ZIP	
TE	ELEPHONE:	Work:	Other: (Home, cell)	E-MAIL:	
	ATURE OF SSTIMONY:				

### 7. REQUESTER INFORMATION: YOUR NAME: YOUR CITY, STATE, ZIP: ADDRESS: E-MAIL: Day: Evening: YOUR **TELEPHONE:** Your identity as the Requester will be provided to the Alford if the Commission accepts iurisdiction of this matter, unless: Pursuant to NRS 281A.750, I request that my identity as the requester of this Ethics Complaint remain confidential because (please check appropriate box): I am a public officer or employee who works for the same public body, agency or employer as the Alford of this Ethics Complaint. Provide evidence in the text box below, or as an attachment, of your employment with the same public body, agency or employer. OR I can show a reasonable likelihood that disclosure of my identity will Alford me or a member of my household to a bona fide threat of physical force or violence. Describe in the text box below, or in an attachment, the facts and circumstances that support a reasonable likelihood of a bona fide threat of physical force or violence. A copy of this Complaint will be provided to the Alford. If your request for confidentiality is approved by the Commission, the Complaint will be redacted to protect your identity as the Requester. The Commission may decline to maintain the confidentiality of your identity as the Requester for lack of sufficient evidence of your employment status with the same public body, agency or employer, or proof of a bona fide threat of physical force or violence. If the Commission declines to maintain my confidentiality, I wish to: Withdraw my Complaint OR Submit the Complaint understanding that the Alford will know my identity as the Requester. By my signature below, I affirm that the facts set forth in this document and all of its attachments are true and correct to the best of my knowledge and belief. I am willing to provide sworn testimony regarding these allegations. I acknowledge that this Ethics Complaint, the materials submitted in support of the allegations, and the Commission's investigation are confidential unless and until the Commission's Review Panel renders a determination. Certain Commission procedings and materials, including the Investigatory File remain confidential pursuant to NRS 281A.750 through 281A.760. Signature: Date: Print Name:

You may file a Complaint using the Commission's **online form** submission at <a href="ethics.nv.gov">ethics.nv.gov</a> (Preferred) or You must submit this form bearing your signature to the Executive Director via:

delivery/mail to Nevada Commission on Ethics, 704 W. Nye Lane.

delivery/mail to Nevada Commission on Ethics, 704 W. Nye Lane, Suite 204, Carson City, Nevada, 89703, email to NCOF@ethics by goy or fax to (775) 687-1279



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# Kory Alford introduced as new Huntington University basketball coach

April 14, 2020 Share \_\_\_\_\_

Huntington University has turned to a familiar family in Indiana hoops lore to lead the Foresters men's basketball program.

Kory Alford was introduced as the new Huntington head coach during a virtual press conference on April 14.

"I'm extremely honored and blessed to be here," Alford says. "God has blessed me throughout my entire career and I just feel called to be here at Huntington. I cherish this opportunity."

This is the first head coaching job for Alford, who is the son of Steve Alford and grandson of Sam Alford. Kory comes to Huntington from the University of Nevada, where he was director of player development on his father's staff this past season. Prior to that, he spent 4 seasons with his dad at UCLA in various support roles.

Kory Alford cited his Hoosier roots, the Christian culture of Huntington's campus and the the competition of the NAIA's Crossroads League as significant reasons for coming to Northeast Indiana.

"I always wanted to be a head coach, but I never knew what level or where I wanted to be," he says. "When Huntington opened up, it just felt

like a perfect fit for me and something I felt called to apply for and pursue very aggressivley. Ultimately, it worked out for both sides; and it's a really good fit."

Alford replaces longtime coach Ty Platt, who retired at the end of the season after 29 years.

Alford takes over the Huntington helm during challenging times. Beyond social distancing from his players, he's doing forced to do much of his recruiting by video



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conferencing, while assembling his coaching staff from a far.

Longterm, he wants his players to fully embrace student life at Huntington, embracing the local community, and potentially branch out with team mission trips in the future.

"We're looking forward to what he will do with the program moving it forward," Huntington athletic director Lori Culler says. "We really have some high expectations of what that will look like. I'm super excited about his ability to mentor our young men."

Before his coaching career, Alford was an accomplished player at both UCLA and New Mexico. He helped UCLA to back-to-back Sweet 16 appearances and UNM to two Mountain West Conference Tournament championships and one regular season league title. In high school, Alford twice led Albuqurque La Cueva to the New Mexico state championship.

<u>Huntington</u> is one of 5 universities that partners with Parkview Sports Medicine for athletic training, nutrition, sports performance, athletic rehab and other sports medicine services for Forester student-athletes. Learn more at <a href="https://www.parkviewsportsmedicine.com">www.parkviewsportsmedicine.com</a>.



Speak with a care navigator to access our full range of sports medicine services.

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WOLF PACK ()

HIGH SCHOOL ()

PROS () RI

RENO ACES (/SPORTS/RENO-ACES)

RENO 1868 FC (/SPORTS/RENO-1868-FC)

WWSTNOWAILY (/NSN-C (/WATC/Weather)

# Nevada's Kory Alford hired as head coach at Huntington University

BY CHRIS MURRAY TUESDAY, APRIL 14TH 2020



 $Kory\ Alford\ has\ been\ named\ Huntington\ University's\ basketball\ coach.\ (Handout)$ 



Kory Alford, who served as Nevada's director of player development last season, was hired Tuesday as the head coach at Huntington University.

Huntington, a Christian college of the liberal arts, is an NAIA school in Indiana. The Foresters went 14-16 last season.

Alford's rise to becoming a head coach mirrors that of his father, Steve, who just completed his first season with the Wolf Pack. Both -0-41° eight shead coaching job at age 27 and Huntington is 20 miles away from Manchester University, where Steve Alford got his

firstbeakeraching inch school()

PROS ()

RENO ACES (/SPORTS/RENO-ACES)

RENO 1868 FC (/SPORTS/RENO-1868-FC)

WWSTNDAILY (/NSN-E (/WATe/Meather)



NSN Daily - Nevada's Kory Alford hired as head coach at Huntington University

Prior to joining the staff at Nevada, Kory Alford spent the previous four seasons at UCLA as the video analytics coordinator. He played four seasons for his father, two at UCLA and two at New Mexico. As a player, he helped four teams reach the NCAA Tournament and the 2014 and 2015 squads advanced to the Sweet 16. During his prep career at La Cueva High in Albuquerque, N.M., he was part of two state championship teams.

"I am honored and grateful for this opportunity, and I would like to thank Dr. Emberton, Lori Culler, Russ Degitz and the entire committee for entrusting me to become the next head men's basketball coach at Huntington University," Alford said in a news release. "It has always been my dream to lead a basketball program, and to be able to do so at a school with such tradition in my home state of Indiana is truly special. God has blessed me throughout my career in this sport, and I consider it a great privilege to be able to contribute to the Christ-centered mission of Huntington University."

Last season, Alford helped Nevada go 19-12 overall and finish in a tie for second place in the Mountain West standings at 12-6. He led Nevada's analytical efforts and was in charge of the Wolf Pack's player development.

"We are thrilled to have Kory join our coaching staff and HU community," Huntington University athletic director Lori Culler said in a news release. "Basketball has always been an important part of Kory's life, and his wealth of experiences at the Division I level have prepared him for this opportunity. He brings tremendous knowledge of the game, strong recruiting connections, Indiana family ties and a faith-infused approach to coaching that will enable him to hit the ground running. We are excited about the future of our men's basketball program with Kory at the helm."

Alford earned his bachelor's degree in sociology from UCLA in 2015 and a master's degree from the University of San Francisco in 2017. Alford and his wife, Haley, look forward to being back home again in Indiana, according to Huntington's news release. The Alford family has deep roots in Indiana. Both Steve and Sam Alford, Kory's grandfather, are in the Indiana basketball Hall of Fame. Steve led the Hoosiers to a national championship.

"I think he's got a tremendous future," Steve Alford said of his son earlier this year (http://nevadasportsnet.com/news/reporters/kory-41°

WOLF PACK ()

HIGH SCHOOL ()

PROS () RENO ACES (/SPORTS/RENO-ACES)

RENO 1868 FC (/SPORTS/RENO-1868-FC)

WWSTNODAILY (/NSN-C (/WAT (/Weather)

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# Murray's Mailbag: Why is Nevada paying an NAIA school \$40,000 to come to Reno?

BY CHRIS MURRAY MONDAY, AUGUST 24TH 2020



Kory Alford is returning to ( ) Lawlor Events Center as the head coach at Huntington University. (Byrne Photo/Nevada athletics)



This week was supposed to lead into the Nevada football team's season opener against UC Davis, but since the Mountain West isn't playing sports this fall due to COVID-19 we remain in a relatively barren period for local live sports. But we still had a good amount of questions for this week's Monday Twitter Mailbag, so let's dive into those. Thanks, as always, for the inquiries.

(Note: If you're not seeing the tweets, it's probably because you're not using Google Chrome. Use Google Chrome.)

The last few years E've done a story on the finances of Nevada's non-conference schedule, both in football and men's basketball, because it 2019

(http://nevadasportsipst.com/news/reporters/whytenevadasbasketballs-non-league-schedule-will-cost-it-400000), 2017

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(http://www.rgj.com/story/sports/college/nevada/2017/10/27/why-nevada-basketballs-non-league-schedule-cost-200-000/806706001/), etc. I got all of the financial details for this year's Nevada basketball non-conference games last week and posted them here (http://nevadasportsnet.com/news/reporters/nevadas-non-league-schedule-highlighted-by-steve-alford-vs-kory-alford-game). It includes five games in which the Wolf Pack is paying money to an opponent, including Pacific (\$80,000), UT Martin (\$70,000), Huntington University (\$40,000), Bethesda (\$4,000) and St. Katherine (\$3,500). Bethesda, St. Katherine and Huntington are all non-Division I opponents, but Huntington is getting 10 times the payout of Bethesda (which is an exhibition) or St. Katherine (which is the regular-season opener). Under Eric Musselman and David Carter, Nevada typically paid non-Division I schools \$4,000 per game, so the \$40,000 price tag for Huntington stood out.

Since Huntington and St. Katherine are both regular-season games, they're more comparable than the Bethesda game, which is an exhibition. Here are the financial details for Nevada's two non-DI regular-season opponents this season.

Huntington: \$40,000 game guarantee; 20 free hotel nights; 50 complimentary tickets

St. Katherine: \$3,500 game guarantee; six free hotel nights; zero complimentary tickets

Huntington is coached by Kory Alford, Steve's son who was on Nevada's staff last season, so I received some questions from people asking about a potential financial conflict of interest there. I spoke with Coach Alford today about the games, and he said the reason Huntington is getting a bigger payout is because of location of the opponents and the fact Alford likes to reward his former players and coaches with games when they become head coaches. St. Katherine is located in San Marcos, Calif., so a drive to Reno; Huntington is in Indiana, so a plane flight away.

"The bottom line is Kory is a former player and former coach," Alford said. "I have always taken care of my former players and my former coaches. I can't help that he has the same last name. He played for me and coached for me, and any former player I'm going to take care and do half of what we normally do. We normally pay teams \$80,000 to \$90,000. That's our going rate. Huntington is \$40,000. St. Katherine's is at the same level, and they were actually going to come here for free. They just wanted a game, and they're in California and can drive. For Kory, it's not drivable, so it wouldn't make any sense for \$4,000. I'm trying to help my former players out and former coaches by helping their program. I don't want them to lose money coming here."

Alford said the Huntington game was a late addition to the Wolf Pack's schedule after a Division I opponent pulled out.

"Southern Utah dropped us because the pandemic hit and didn't want to deal with the travel," Alford said. "That's happening across the country as well. We were paying Southern Utah \$85,000. So Instead of paying \$85,000 to play that game, we're paying \$40,000 to play that game. This game was picked up because Southern Utah dropped."

Alford said playing an NAIA school like Huntington can be better for a program than playing a bad D-I opponent. Non-DI games don't impact a school's RPI good or bad, whereas playing a D-I school with a poor RPI can hurt your résumé even if you win via blowout.

"The fans need to know, too, it doesn't matter if it's DII, DIII, NAIA, they're basically all the same as non-DI," Alford said. "Anytime you play a non-DI, it doesn't hurt you. I never used to play non-DI. Now with the way they've redone things with the criteria they use, it's not a bad thing. This year, we're playing two non-DIs. I haven't played a non-DI in I don't know how long. When I do play a non-DI, I first look at where my former players and coaches are in the business and whether it can help them as much as it helps me.

"Early be gets to play that game as an exhibition, so if we beat them it doesn't count as a loss. With us, it counts as a win or loss but 26° to be the play that game as an exhibition, so if we beat them it doesn't count as a loss. With us, it counts as a win or loss but 26° to be the play that the proposed for 30 years, and I'm not going to schedule people I don't know just be saying the proposed for the prop

Alford said he never played non-DI schools when he was at UCLA and didn't do it much when he was at New Mexico but conversations with other Mountain West schools gave him the impression having at least one of those kind of teams on the schedule each year was not a bad thing. He plans on having at least one non-DI school on the regular-season schedule moving forward.

"After talking to San Diego State and some other coaches, it's not a penalty to play a non-DI where it can actually benefit you," Alford said. "It's better to play a non-DI now than play RPI teams of 225 or higher. If you play a Division I team of 225 and higher, win or lose it hurts your résumé. You'll probably see us playing as part of our schedule, not in an exhibition, at least one non-DI a year. And for a regular game, that's what I'll pay them."

Alford also explained why the Huntington game is being played the day after Nevada's game against Grand Canyon, which sets up a rare situation with home games on back-to-back days.

"The reason it's a double-header is I got a home-and-home with Grand Canyon because of Bryce Drew and Ed Schilling," Alford said. "Bryce is the head coach, and Ed is the assistant. Well, Bryce and I are Indiana Mr. Basketball, and Ed Schilling and Craig Neal on my staff are Indiana All-Stars. So it's a way of me being able to take care of Kory's team and setting up a matchup of two former Mr. Basketballs."

Coach Alford said he likes to schedule games against his former players and assistants, and Kory fit that criteria, which is why the game was scheduled and not because of the biological relationship. He said if a non-DI school requires a plane to get to Reno, the going rate will be \$40,000. If it is a drivable regular-season game against a non-DI opponent it will be in the \$5,000-\$10,000 range. And if it is an exhibition game against a non-DI opponent it will be \$5,000 or less.

"If you see a non-DI on my schedule, that's going to be the going rate unless they're two hours away and can drive here," Alford said of the \$40,000 payment to Huntington. "If I have to pay \$40,000 to play Kory's team or Truman State, that's what I'm going to pay to make it worth their time. People in the West close to us, yes, I'm going to get those teams for \$5,000 to \$10,000."

Coach Alford specifically mentioned Truman State because one of his former players at Iowa, Jeff Horner, coaches the Division II school in Kirksville, Mo., and Alford would like to play that team in the future. If he uses the formula outlined above, that'd be a \$40,000 payout to Truman State, a non-DI school.

This is one of those situations where I'm just trying to get readers the information, and I'll let them make the call on whether they think paying Huntington \$40,000 is fair or not. The Wolf Pack's overall non-conference schedule cost the team roughly \$200,000 in game guarantees plus obligations for 121 hotel room nights. That \$200,000 is in line with two of the three previous non-conference schedules, with the anomaly coming in 2018-19 when Nevada spent \$400,000 on its non-league slate. Coach Alford said this year's \$200,000 overall cost is below the budget he was allotted.

"I was given between \$250,000 and \$300,000 and the pandemic hit, and as a way to save money we're around \$200,000 in our guarantees, so it's a way to save money," Alford said. "I was told at the beginning we'd be \$250,000 to \$275,000. I went with home-and-homes with UT Arlington, Grand Canyon, Santa Clara, San Francisco and San Diego (with no money exchanged). The only buy games I have are Huntington, St. Katherine's, Pacific and UT Martin, which is part of the Cayman Classic tournament."

Yep Contracts begin and end via dates rather than seasons accrued, and Coach Alford's contract runs through April 30, 2029

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Newada basketball plays or not this season, Alford's nontract perfect plays of the World of th

Well, the Mountain West has a television deal with CBS Sports and Fox Sports, so they own the rights to those games and get first pick (CBS Sports) and second pick (Fox Sports) of broadcasting MW (and thus Nevada) games. The MW has not announced its third-tier media rights partner (that is expected to happen shortly), but if Stadium is one of those partners, we could air the games because we are owned by the same company. Also, if games are not picked up by first-, second- or third-tier partners, we could negotiate to get the rights to those games to broadcast on NSN, which we would love to do like we did last season with a nine-game package (three men's basketball, six women's basketball). Typically, television schedules would be announced by now, but it's obviously a weird year. Games were tough to get in previous seasons because Nevada was the MW's top program, meaning almost all of its games were picked up by the tier one-three partners. There could be more games that fall throw the cracks and are available for us to broadcast given where Nevada is projected to be finish in the conference this season, which is the middle of the pack.

It's a long shot Jalen Harris would end up with any one specific team you'd list pre-draft, but Charlotte would be a fit considering the Hornets have just 10 players locked in for next year, meaning it has five open roster spots. I'm sure the Martin twins would put in a good word for Harris because they know his skill level and work ethic. I don't envision a scenario where all three would start together. In terms of whether an NBA team has ever started three alums from one college in a game, I don't know if that's happened but I would guess it has. And if I had to pick a college that has accomplished that, it'd be Kentucky.

Why can't both numbers be released? Obviously the pro to announcing "tickets distributed" is you can inflate numbers, and the NCAA requires an average attendance of 15,000 fans per game once every two years to maintain FBS status. So some schools have to cook the numbers (or buy tickets from itself) to hit the threshold. But attendance is defined as "the number of people present at a particular event, function, or meeting." Saying 20,000 people are at a game when only 10,000 are actually there is just intellectually wrong, and this happens in pro sports, too. I don't see why college and pro teams don't simply announce "tickets out" and "true attendance" every game. It's not that difficult, and then you're comparing apples to apples.

I mean, FBS football begins Sept. 3, so we're only 10 days away from the starting line. It looks like it's happening. Major college football has a longer runway with the ACC slated to start Sept. 19, and a lot can happen between then and now. I don't think a full season will be played without some games having to be canceled due to team outbreaks, but it looks like they're going to start, and if they start, I think they'll finish. I'm not a big fan of unpaid labor playing through a deadly pandemic, but here we are. And this is what Doug Knuth said two weeks ago about those other conferences moving forward with its plan to play.

"They're all still trying to figure it out," Knuth said. "Until they kick off, I don't know that anyone thinks they can play yet but they are still trying to figure it out. I think that's the key. But I think the conferences that are moving forward trying to figure it out, I think that's great for them and if they can figure it out, that's wonderful. I don't know how. I don't know if they know something in the science and research we don't or the Pac-12 and Big Ten. But I think they're still trying to figure it out. Are they going to play or not play? I don't know."

Honestly, I wish every team played an eight-game season in the spring. It's weird having two split seasons.

The common answer seems to be "uncertainty." There's still much to be learned about the long-term effects of having COVID-19, and with a long-term effects of having could effect will be long-term effects of having COVID-19, and with a long-term effects of having could effect will be a light to be read with a long-term effects of having coaches will and the long-term effects of having coaches will always and the read light. Players and coaches will always and coaches will always and coaches will always and coaches will always and the read light. Players and coaches will always and the read light. Players and coaches will always and coaches will always and coaches will always and coaches will always and the read light. Players and coaches will always and coaches will always and coaches will always and the read light. Players and coaches will always and the read light. Players and coaches will always and the read light. Players and coaches will always and the read light. Players and coaches will always and the read light. Players and coaches will always and the read light. Players

We won't know COVID-19's impact on state/university/student funds for MW teams until fiscal year 2021 numbers are released, and that's more than a year away. Nevada is getting \$1 million less in state money (http://nevadasportsnet.com/news/reporters/wolf-pack-rebel-athletic-departments-to-get-seven-figure-state-money-cuts) this year and student fee income funds will likely decrease, too. So there's a direct impact, but the impact per school is undetermined at this moment. Per the last available numbers (FY19), here is how much money each MW athletic department gets from state/university/student funds.

- 1. Air Force, \$40,851,962
- 2. San Diego State, \$29,222,098
- 3. UNLV, \$25,016,848
- 4. Colorado State, \$23,944,343
- 5. Utah State, \$23,496,368
- 6. San Jose State, \$21,511,904
- 7. Fresno State, \$21,297,379
- 8. Wyoming, \$20,328,318
- 9. New Mexico, \$15,260,126
- 10. Boise State, \$15,023,907
- 11. Nevada, \$14,383,554

So if you want to know why Nevada will always struggle financially, there's your answer. The MW average outside of Nevada is \$23.595 million, which is almost \$10 million more than the Wolf Pack gets annually. And you're probably asking why UNLV's number is so high. The three main factors are: (a) Thomas & Mack generates way more money than Lawlor Events Center; (2) UNLV gets about \$1 million more than Nevada in student fees; and (3) UNLV gets about \$2.5 million more than Nevada in state money because its athletic fields are larger, hence higher maintenance costs.

\* Parrell Rasper (Carson High): The Carson High graduate was one of the top recruits the Wolf Pack ever signed. After three seasons at 20-46° as specific fround pick in 2002 after a standout college career. He went 9-15 with a 5.00 ERA in 41 big-league games (30 starts) before five splid seasons in Japan (14)-27, 4,17,5 RASS (26) RENO-ACES)

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\* Rob Richie (Mineral County/Hug High): Richie moved from Hawthorne to Reno for the better caliber of play in high school before joining the Wolf Pack. An All-American, he seemed destined for stardom before quitting after his rookie season with the Tigers because of his faith

(he's a Jehovah's Witness). The second-round pick in 1987 was a Double-A league MVP.

\* Fred Dallimore (Reno High): A 1962 Reno High graduate, Dallimore was Nevada baseball's first All-American (he made the team in 1966). After his playing days ended, Dallimore was a standout college manager, posting a 794-558-2 record as UNLV's head coach while reaching seven NCAA Regionals in 23 seasons.

That leaves two spots. I'll go with:

\* Matt Bowman (Dayton High): A two-time All-WAC honoree, Bowman ranks fourth in program history in games played, fourth in runs scored, sixth in hits and third in doubles. Despite not having a pro career, Bowman was a really strong player for four seasons in the 2000s and deserves to be on the list.

The last spot comes down to guys like Colby Blueberg, Adam Whitt, Tom Jameson, Ryan Rodriguez, Brian Barnett, Justin Bridgman and Luke Drakulich.

\* Ryan Rodriguez (McQueen High): I'll go with Rodriguez, who is first in program history in wins (32), innings pitched (398.2) and strikeouts (331) and was the 2007 WAC pitcher of the year. He was a two-time All-WAC honoree and his placement in the Wolf Pack record book gives him the edge.

I'd also note Gary Powers is a local kid (Douglas High), but I assume you are referring only to playing career and not coaching career, too.

The only option there would be Wichita State transfer Grant Sherfield, and I assume Nevada did put in a request for him to play immediately. There's a case to be made there. Sherfield signed with UCLA out of high school because he wanted to play for Steve Alford. But Alford was fired, so Sherfield was let out of his letter and signed with Wichita State (his grandparents live in the area) over Minnesota and Wake Forest. He could argue he wanted to play for Alford from the start, hence his transfer from Wichita State to Nevada after one season, although Alford was hired as the Wolf Pack's coach four days before Sherfield committed to Wichita State. You can never predict whether the NCAA will approve a transfer waiver. There seems to be no consistency, but Sherfield has a decent case. And his addition to the roster would be big given the Wolf Pack's weakness is in the back court and Sherfield is a combo guard.

Well, they don't practice if the air quality is dangerous. The Wolf Pack has been forced to practice in basketball gyms and in parking garages due to smoke. It has moved practice from the morning to the night. Nevada was supposed to scrimmage Saturday but that was canceled due to smoke. The scrimmage was pushed back to Sunday but was again canceled. This has been an issue in recent season. It first popped up in 2013 when Brian Polian was in his first season with the Wolf Pack, and it seemed to be a consistent bugaboo for his teams. In 2014, Nevada practiced at the 49ers' practice facility instead of Reno in advance of a game at San Jose State (Colin Kaepernick stopped by to say hello). So the Wolf Pack does monitor the air quality and doesn't practice if it's bad. That's one reason the program wants an indoor facility. I don't the bad air quality has impacted recruiting, but McLane Mannix did cite the wildfires

(http://nevadasportsnet.com/news/reporters/mclane-mannix-shares-the-reason-he-has-to-transfer-out-of-nevada) as the reason he transferred from Neada to Texas Tech (and was given a waiver to play immediately for the Red Raiders). Connected to that, Nevada is the only offer for Mannix's younger brother, Brannan (https://247sports.com/player/brannan-mannix-46056231/), a class of 2022 recruit. It'll be interesting if he signs with Nevada given McLane's reason for leaving the Wolf Pack after two seasons.

But given the quality of the air today, you have to wonder whether the Wolf Pack would have even been able to play UC Davis this weekend

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**Damonte Ranch: Torrey Sheets** 

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Galena: Tom Maurer

Hug: Brian Voyles

Manogue: Bill Ballinger

McQueen: John Franklin (kind of the Gunslingers)

North Valleys: Kyle Cerfoglio

Reed: Paul Gray

Reno: Wint King

Spanish Springs: Kyle Penney

Sparks: Lyle Damon

Wooster: Aubrey McCreary

It's a quality game, and it doesn't take nearly as long to finish as regular Monopoly.

I 100 percent agree and noticed that as soon as I broke out the game. The highest-valued team on the board is the Atlanta Falcons, winners of exactly zero Super Bowls? And the New Orleans Saints, winners of one Super Bowl (and that win came after this game was released), has the second-highest value? I get they were trying to cluster teams together by division, but the 49ers could have easily taken the Falcons' spot since they were both in the NFC West at the time. Also, why were teams in Atlanta and New Orleans once in the Western division?

Tough call today if you're ranking teams by monopoly places. Which two teams make up Boardwalk and Park Place? It comes down to the Patriots, Steelers, Cowboys and 49ers for those two spots. Patriots probably get Boardwalk, and Steelers get Park Place. We can all agree it's not the Falcons and Saints.

Yep. That is Jim Everett, who played in the NFL from 1986-97, including eight seasons with the Rams, three with the Saints and one with the Chargers. In three seasons with the Saints, Everett went 17-30 and threw for 10,622 yards (3,541 per season) with 60 touchdowns and 48 interceptions. That's the same Everett who got frisky with Jim Rome after Rome kept calling him "Chrissy." I find it funny when coaches adore Jim Rome, who began his broadcasting career as a professional troll.



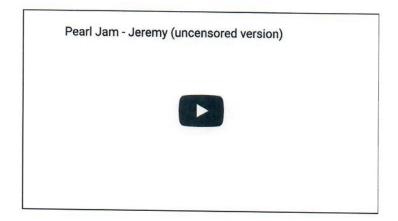
Jim Rome

PROS () RENO ACES (/SPORTS/RENO-ACES) RENO 1868 FC (/SPORTS/REMOREB68-FC) WASKIDAILY (/NSN-E (/WAT (/Wyeather))

So would I rather be (a) Mike Trout, (b) Justin Turner or (c) Travis Ishikawa? I'd rather be Trout, who could end up being the best baseball player of all time. Yes, it would suck to never make the playoffs, but it's not my fault my teammates are bad, my general manager is bad and my owner is bad. I'd also rather be Charles Barkley than Robert Horry. Rings are nice, but I'd take being a Hall of Famer without rings than a mid-level player with rings.

It's worse on your home court because you'd feel like you let down 20,000 fans. The level of offensive ball being played in the NBA playoffs this month is remarkable, but this just doesn't feel the same as regular playoff games. It's different when you're playing in what is basically a junior-college gym. MLB games have largely felt the same to me because they're still in big-league parks. While there's some merit to a MLB playoff bubble, I hope the postseason is not played at spring training sites as a result.

I prefer the uncensored video released earlier this summer that shows Jeremy putting the gun in his mouth because the censored version gives the appearance Jeremy shot up his classmates. In 2017, Billboard had an interesting story on the actor (https://www.billboard.com/articles/columns/rock/7882145/pearl-jam-jeremy-video-star-trevor-wilson-death) who played Jeremy in this music video. He drowned while swimming alone during a vacation in Puerto Rico.



Happy birthday!

kid we would usually be on our summer vacation during my birthday, so spending time with my family was my favorite 46° NEVADA() Jis 全の即序 of house boating trips at Shasta Lake during my birthday when I was middle-school aged, so I would go with those tripsoReseatly, I wentense tripsoround lake in a hospital the Estate Research with the research of the researc (/WAT (/Weather)

I'll take the first picture. I like the bow tie high and tight.

See y'all next week!

Sports columnist Chris Murray provides insight on Northern Nevada sports. He writes a weekly Monday Mailbag despite it giving him a headache and it taking several hours to finish. But people seem to like it, so he does it anyway. Contact him at crmurray@sbgtv.com or follow him on Twitter @ByChrisMurray.

#### MORE TO EXPLORE

(http://nevadasportsnet.com/news/reporters/nevada-womens-basketball-adds-three-players-in-early-signing-period)

Nevada women's basketball adds three players in early-signing period

(http://nevadasportsnet.com/news/reporters/nevada-womens-basketball-adds-three-players-in-early-signing-period)(http://nevadasportsnet.com/news/reporters/pack-player-preview-freshman-alem-huseinovic-is-a-high-level-shooter) and the properties of t

Pack player preview: Freshman Alem Huseinovic is a high-level shooter

(http://nevadasportsnet.com/news/reporters/pack-player-preview-freshman-alem-huseinovic-is-a-high-level-shooter) (http://nevadasportsnet.com/news/reporters/as-it-gets-more-top-25-votes-nevada-a-home-underdog-this-week)

As it gets more Top 25 votes, Nevada a home underdog this week

(http://nevadasportsnet.com/news/reporters/as-it-gets-more-top-25-votes-nevada-a-home-underdog-this-week)

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They Drained Niagara Falls — They Weren't Prepared For This Sickening Discovery

(https://unleashedfinance.com/anthropology-and-history/places/niagara-falls-runs-dry-reveals-bodies-1969-united-states-canada/?utm\_source=Taboola&utm\_medium=CPC&utm\_content=sinclairnevadasportsnet\_1258850&utm\_campaign=Rc4-After-Engineers-Drained-Th\_US\_DESKTOP\_299963&utm\_term=6727318&l=a&tblci=GiC9QCHWQh27vtvnij69PMGPtQgmnB8V6ls-D2O2nlAXgyDkl08#tblciGiC9QCHWQh27vtvnij69PMGPtQgmnB8V6ls-D2O2nlAXgyDkl08)

(https://www.thedelite.com/unexpected-uses-for-hydrogen-peroxide/?lvl=opt2&stack=ssp&utm\_source=taboola-adrizer&utm\_campaign=298156&utm\_term=sinclair-nevadasportsnet&utm\_content=adrizer)

Pour Hydrogen Peroxide Down Your Drain At Night, Here's Why thedelite.com

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Best-Selling Hearing Aids in Nevada (See Why)

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# UNIVERSITY OF NEVADA, RENO ATHLETIC CONTEST AGREEMENT

This agreement made and entered into this 29th day of April, 2020 and between the Board of Regents of the Nevada System of Higher Education on behalf of the University of Nevada, Reno and the athletic authorities representing Huntington University stipulates:

1. That the Men's Basketball team representing the above institutions shall meet/play a series of athletic events as follows:

Time Location December 12, 2020 TBD Reno, NV

- 2. That the contests and all arrangements incident thereto shall be conducted under the rules and regulations of the NCAA.
- 3. That in consideration of playing said contests, the following financial arrangements are agreed upon as follows: \$40,000
- 4. The visiting team is allowed #50 Complimentary tickets. Bands, cheerleaders, and mascots shall be admitted without tickets when in uniform. However, seating for such personnel shall come from the visiting team allotment.
- 5. That contest officials shall be assigned by the host institution.
- 6. That radio rights will be retained by the host institution.
- 7. That games included in this agreement may be televised only with the express written consent of the host institution.
- 8. This Agreement shall be covered by the laws of the State of Nevada.
- 9. (Force Majeure Provision) That if it becomes impossible to play, sport games/matches by reason of severe weather, fires, floods, power failure, strikes, riots, war, terrorism, governmental orders or other unforeseen reasons beyond the control of either party, this Athletic Agreement may be cancelled by either party and the game(s) shall be cancelled, and neither party shall be responsible to the other for any loss or damage. No such cancellation as to one game shall affect the parties' obligations as to any other game(s) covered by this Agreement.
- 10. That other provisions are: Mutually agreed upon, Huntington will be furnished a total of 20 hotel rooms in Reno, NV (hotel provided at the discretion of the University of Nevada, Reno)
- 11. This Agreement shall be signed and returned to Matt Smith, Assistant AD, Finance, at the address listed below by May 29, 2020, to be binding and of legal effect. Should the Agreement not be returned by May 29, 2020, it shall, at the option of the University of Nevada, Reno, be null and void and of no effect.

Note: If, for reasons that are not covered by the Force Majeure provisions of this Agreement, a team breaches this Agreement by failing to appear at the time and place stated above for the game(s), then for each game that is cancelled the breaching team shall pay the other team as liquidated damages the amount of \$100,000. Payment shall be made within 60 days of the date the cancelled game would have been played. The parties agree that it is difficult to predict attendance, revenues and expenses for any sporting event and that sum stated above represents liquidated damages and shall not be regarded as a penalty. The Board of Regents of the Nevada System of

Higher Education on behalf of the Un APPROVED:	iversity of Nevada, Reno		
Doug teruth	01-May-2020   9:26 AM PDT	APPROVED:	
By: Doug Knuth Title: Director of Athletics	Date	By: Lori Culler Title: Athletic Director	4/36/2020 Date
Stephen Alford		Head Coach	5/1/20
Please retai	in one signed come for		

retain one signed copy for your records and return one signed copy of this agreement to: Matt Smith at the University of Nevada, Reno - Intercollegiate Athletics, Legacy Hall MS 232, Reno, Nevada 89557



# STATE OF NEVADA COMMISSION ON ETHICS

704 W. Nye Lane, Suite 204 Carson City, Nevada 89703 (775) 687-5469 • Fax (775) 687-1279 ethics.nv.gov

					r of Player
Deve	lopm	ent, Un	iversity o	of Nevad	a Reno,
		evada.	•		•

Ethics Complaint Case No. 22-038C Confidential

Subject. /

#### **WAIVER OF A DETERMINATION BY A REVIEW PANEL**

KA

I, Kory Alford, the Subject of the above referenced Ethics Complaint, affirm that I have read the provisions of NRS 281A.725 and NRS 281A.730, and hereby freely and voluntarily waive all statutory requirements for a Review Panel proceeding and/or a Review Panel Determination. I do not object, and hereby submit to the jurisdiction of the Nevada Commission on Ethics ("Commission") to conduct further proceedings relating to the Ethics Complaint including, without limitation, rendering an opinion in this matter.

Dated: 6/7/22

Kory Afford

Date received: 6/7/22

Employee of the Corenission



# STATE OF NEVADA BEFORE THE NEVADA COMMISSION ON ETHICS

In re Kory Alford, Head Men's Basketba	II
Coach, University of Nevada, Reno,	
State of Nevada,	

Ethics Complaint Case No. 22-038C

Subject. /

# WAIVER OF NOTICE REQUIRED UNDER NRS 241.033(1) TO CONSIDER CHARACTER, MISCONDUCT, OR COMPETENCE OF SUBJECT IN ETHICS COMPLAINT PROCEEDINGS

The Nevada Commission on Ethics ("Commission") may be holding various hearings, meetings, judicial review or appellate proceedings and other proceedings to consider the Subject's character, misconduct or competence as related to the above referenced Consolidated Ethics Complaints (collectively "Proceedings"). If the Proceedings are not exempt from Nevada's Open Meeting Law pursuant to NRS Chapters 241 or 281A, NRS 241.033(1) requires notice be personally served on Subject of the time and place of the meeting at least 5 working days before the meeting or sent by certified mail to the last known address at least 21 working days before the meeting. Subject agrees to comply with all noticed deadlines and scheduled dates for the Proceedings, including those noticed by a posted public agenda, scheduled with the parties or set forth in a Notice of Hearing and Scheduling Order and other issued Orders, including any amendments thereto.

I, Kory Alford, understand the statutory notice requirements of NRS 241.033 and hereby knowingly and voluntarily waive my rights thereto associated with any Proceedings. In doing so, I expressly consent to any discussion of my qualifications, competence and character in the Proceedings. Prior to signing this waiver, I either had the opportunity to discuss this matter with my attorney or have voluntarily determined to proceed on my own accord, thereby waiving the right to consult with an attorney.

Dated this \_\_\_\_\_\_\_, day of \_\_\_\_\_\_\_\_\_, 2022.

By: Kory Alford



## STATE OF NEVADA

#### BEFORE THE NEVADA COMMISSION ON ETHICS

In re Kory Alford, Former Director of	
Player Development, University of Nevada	
Reno, State of Nevada,	۰

Ethics Complaint Case No. 22-038C

Subject. /

# NOTICE OF HEARING TO CONSIDER STIPULATED AGREEMENT NRS 281A.745

PLEASE TAKE NOTICE, the Nevada Commission on Ethics ("Commission") will hold a public meeting to consider a <u>Proposed Stipulated Agreement</u> regarding the allegations submitted in Ethics Complaint No 22-038C at the following time and location:

When: Wednesday, June 15, 2022 at 9:30 a.m.

Where: Nevada State Capitol Building

Guinn Room

101 N. Carson Street, Second Floor

Carson City, NV 89701

And via Zoom at:

https://us06web.zoom.us/j/87947628267?pwd=aG4wNFVldXZTdWgxc3l0dWJaMDVPUT09 Zoom Meeting Telephone Number: 720-707-2699

> Meeting ID: 879 4762 8267 Passcode: 967863

Subject has waived the personal notice requirements of NRS 241.033 (Nevada's Open Meeting Law). If the Proposed Stipulated Agreement is approved, it will serve as the final written opinion in this matter pursuant to NRS 281A.135.

DATED:	<u>June 8, 2022</u>	
		Tracy L. Chase, Esq.
		Commission Counsel

## **CERTIFICATE OF SERVICE**

I certify that I am an employee of the Nevada Commission on Ethics and that on this day in Carson City, Nevada, I transmitted a true and correct copy of the foregoing **Notice of Hearing** via electronic mail to the Parties and counsel of record, as follows:

### **Executive Director:**

Ross Armstrong, Esq. Email: ramstrong@ethics.nv.gov

Executive Director

Elizabeth J. Bassett, Esq. Email: <a href="mailto:ebassett@ethics.nv.gov">ebassett@ethics.nv.gov</a>

**Associate Counsel** 

Kari Pedroza, Executive Assistant cc: k.pedroza@ethics.nv.gov

704 W. Nye Lane, Suite 204 Carson City, NV 89703

Subject:

Kory Alford Email: kalford@huntington.edu

Kory Alford Huntington University Athletic Dept.

2303 College Avenue
Huntington, IN 467501

Informal Counsel – Scott Gaffield Email: <a href="mailto:sgaffield@athletesfirst.net">sgaffield@athletesfirst.net</a>

DATED: June 8, 2022 Fmployee of the Nevada Commission on Ethics

# Agenda Item 6

# 19-088C (Ramos) Motions for Summary Judgment (MSJ) Materials Dropbox link

https://www.dropbox.com/sh/xsvuob6le4fnfdj/AAANFhqEVGcjbzMTO2qNdP3ra?dl=0



# BEFORE THE NEVADA COMMISSION ON ETHICS

In re **Bartolo Ramos**, Public Works Director, Lander County, State of Nevada,

Ethics Complaint Case No. 19-088C

Subject. /

# THIRD-AMENDED NOTICE OF HEARING AND SCHEDULING ORDER NRS 281A.745

#### <u>and</u>

Notice of Hearings and Meetings to Consider
Your Character, Alleged Misconduct, Professional Competence or Health
(NRS 241.033)

PLEASE TAKE NOTICE, on <u>June 15, 2022</u> and <u>September 21, 2022</u>, the Nevada Commission on Ethics ("Commission") will hold public meetings, at a location to be determined and noticed at a later date, to include consideration of the alleged misconduct, professional competence or health of Bartolo Ramos ("Subject") as it pertains to the Nevada Ethics in Government Law set forth in NRS Chapter 281A ("Ethics Law"), which may include the adjudicatory hearing, consideration of motions, stipulations or other matters associated with Ethics Complaint No. 18-088C. Although portions of Commission hearings are exempt from Nevada's Open Meeting Law ("NOMA") pursuant to NRS 241.016 and NRS Chapter 281A, the Commission makes every effort to open the hearing to the public. An agenda will be posted, and a record of proceedings will be kept by the Commission.

The investigation for this case has been completed by the Executive Director. Pursuant to NRS 281A.725, a Review Panel reviewed the Complaint and related investigation conducted by the Executive Director and issued a *Review Panel Determination* on or about June 21 2021. The *Review Panel Determination* concluded that just and sufficient cause exists for the Commission to render an opinion in this matter with respect to certain alleged violations as stated therein and referred those allegations to the Commission for further proceedings.

On <u>April 25, 2022</u>, the Parties requested a continuance on certain deadlines and the request was granted by Commission Counsel and this amended Scheduling Order establishes the revised deadlines for proceedings before the Commission.

### THE HEARINGS WILL TAKE PLACE:

<u>Hearing on Dispositive Motions or Stipulations</u>: The hearing will take place at a location to be determined on <u>Wednesday</u>, <u>June 15</u>, <u>2022 at 9:00 a.m</u>., or as soon thereafter as the Commission is able to adjudicate the matter, which hearing may include determination of any submitted motions, stipulations or other matters associated with this Ethics Complaint.

Adjudicatory Hearing and/or Hearings on Adjudicatory Motions or Stipulations: The hearing will take place at a location to be determined on Wednesday, September 21, 2022 at 9:00 a.m., or as soon thereafter as the Commission is able to adjudicate the matter, which may include an adjudicatory hearing and determination of any submitted motions, stipulations or other matters associated with this Ethics Complaint.

In all presentations before the Commission, the Parties must reference appropriate authority set forth in NRS Chapter 281A, NAC Chapter 281A or Commission opinion precedent, which may be located on the Commission's website at <a href="www.ethics.nv.gov">www.ethics.nv.gov</a> or available through other legal research sources. For both hearings, the Parties must be prepared to provide oral presentations to the Commission on any motions and stipulations. For the Adjudicatory Hearing, the Parties must be prepared to present opening and closing arguments, witnesses, and evidence in support of their respective cases, within the time limits established by the Chair or Commission.

Subject has the right to be represented by counsel, appear, and participate in all noticed hearings. In accordance with the Scheduling Order, each Party has the right to participate in discovery, request that the Commission issue subpoenas to compel witnesses to testify and/or produce evidence in accordance with appliable statutes and regulations. The requesting Party may be required to demonstrate the relevance of the requested discovery, witnesses' testimony and/or evidence to the matters to be considered by the Commission, and each Party shall be responsible for subpoena service and related costs. Other rights are found in NRS Chapter 281A, NAC Chapter 281A and NRS Chapter 233B. The Commission must support any finding of a violation of the Ethics Law by a preponderance of the evidence.

#### **Scheduling Order**

The Commission has scheduled hearings on the dates noticed above. The Commission's Executive Director and the Subject (hereafter referred to respectively as a "Party" or the "Parties," as applicable) shall comply with the Scheduling Order:

#### 1. APPEARANCES

Pursuant to NAC 281A.452, the Commission directs the Parties and their respective counsel to appear personally at the adjudicatory hearing related to the Complaint and appearances through counsel will be required at all other scheduled hearings, including motions and stipulations. Pursuant to NRS 281A.300, Subject, through counsel, has confirmed his appearance at the adjudicatory hearing. Further, Subject has executed a waiver of NOMA's personal notice requirements set forth in NRS 241.033, which is on file with the Commission.

If Subject is not otherwise excused by the Chair or present when the matters are called, the Commission may consider as true the alleged violations specified in the Review Panel Determination or pursuant to an Offer of Proof submitted by the Executive

Director. NAC 281A.452 indicates that when a Subject fails to reply to a notice to appear, the Commission may consider the alleged violations specified in the Review Panel Determination to be true or may proceed to consider the case without the presence of the absent subject and may dispose of the matter on the basis of the evidence before it.

#### 2. DISCOVERY AND RELATED MOTIONS

As permitted by NRS Chapter 281A and NAC Chapter 281A, the Parties may engage in continued investigation of facts and exchange written discovery interrogatories, written requests for production, requests for admission and depositions upon issuance of this notice with a <u>discovery deadline of Monday, January 31, 2022</u>. Such requests shall not be costly or <u>burdensome</u> and depositions may be taken by telephone as agreed by the Parties. Written and deposition discovery need not be filed with the Commission, unless relevant to a discovery dispute to be considered by motion. Established dates for discovery matters including discovery-related motions, responses and replies are set forth in Section 11, the Deadline Schedule.

The Parties shall have a continuing duty to supplement prior discovery responses with additional information that is relevant to the response. All responses to written discovery requests including any dispute or objection to a discovery request by the recipient Party must be in writing and served on the requesting Party not later than <u>5</u> <u>business days</u> after receipt of the discovery request.<sup>1</sup>

The Parties shall make reasonable efforts to resolve any discovery dispute. If the Parties are unable to resolve the dispute, any disagreement regarding discovery issues including depositions of witnesses may be considered through submission of a motion and resolved by the Commission through its Chair or Vice Chair. Any discovery extensions, disputes, or requests for additional discovery, that are not addressed in this Scheduling Order, may be requested by written stipulation, and submitted to Commission Counsel on or before the established discovery deadline.

#### 3. SUBPOENAS

All requests for subpoenas must comply with NRS 281A.300 and NAC 281A.448 and be requested so there is <u>3 business days</u> for processing and sufficient time to comply with all service requirements of the Nevada Rules of Civil Procedure applicable to service of a subpoena in a civil action, including payment of the costs of service. Any request for issuance of a subpoena shall be made to the Chair, through Commission Counsel, and the Chair will determine whether it is appropriate to issue the requested subpoena. Discovery related subpoenas must be requested and served within the discovery period and allot sufficient time for the responding party or person to complete the requested discovery before the established discovery deadline.

# 4. STIPULATIONS

If the Parties reach a proposed stipulation resolving all or a portion of the issues set forth in the complaint, they must submit a joint proposal through Commission Counsel to the Commission nine (9) calendar days before any public meeting of the Commission, which are usually scheduled for the third Wednesday of the given month, in order to be placed on the calendar. See also Section 11, Deadline Schedule for specific dates relating to consideration of Stipulations at hearing dates scheduled for this case.

<sup>&</sup>lt;sup>1</sup> The business days of the Commission are Monday through Thursday, except for holidays and closures, which closures are as declared by the Governor of the State of Nevada.

#### 5. POST-DISCOVERY MOTIONS

# a) <u>Dispositive Motions' Hearing</u>:

The Parties may submit written dispositive motions, such as a motion for summary judgment, to the Commission for consideration, which motions and related pleadings must be submitted on or before the dates established in Section 11, Deadline Schedule. Even though the Nevada Rules of Civil Procedure are not controlling on administrative proceedings of the Commission unless specifically reference in NRS Chapter 281A or NAC 281A, the Commission, in its discretion, may utilize the requirements of NRCP as guidance in its consideration of the merits of a dispositive motion.

# b) Adjudicatory Motions' Hearing:

The Parties may submit *Motions in Limine* or other procedural motions applicable to the adjudicatory hearing (collectively "Adjudicatory Hearing Motions") for consideration of the Chair or Commission. Adjudicatory Hearing Motions must include a concise statement of the issue or facts, detail any evidentiary objections to the admissibility of any exhibit identified by the other Party or expected testimony of any witnesses and contain points and authorities supporting the request. Parties should be prepared to present oral argument to the Chair or Commission, as applicable, on the merits of the filed pleadings.

Adjudicatory Hearing Motions, responses and replies must be filed on or before the designated date set forth in Section 11, the Deadline Schedule. If no objection is stated as to any exhibit or expected testimony, the Chair/Commission will presume that there is no objection to the admission into evidence of the listed exhibit or expected testimony.

## 6. PREHEARING STATEMENTS FOR ADJUDICATORY HEARING

On or before the date established in the Deadline Schedule set forth in Section 11, below, the Parties shall submit prehearing statements to the Commission in preparation for the adjudicatory hearing. The Prehearing Statements shall be in proper format, limited to 10 pages and must include the following information:

## a) **Statement of Relevant Facts**:

A brief statement of relevant facts, including any admitted or undisputed facts.

#### b) Claims and Defenses:

A concise statement of the Party's allegations or defenses and the facts supporting the same. Such allegations, defenses and facts shall be organized by listing each essential element of the allegation or defense and stating the facts in support of each such element as they relate to specific provisions of NRS Chapter 281A.

# c) Statement of Issues of Law:

A statement of any issues of law supported by authorities with a brief summary of the relevant rule. The Parties should emphasize any Commission opinions deemed relevant and applicable.

## d) Witnesses:

The names of each witness, except impeaching witnesses, the Party expects to call, a clear statement of the expected testimony of each witness and its relevance, and an estimate of the time the Party will require for the testimony of each witness. To the extent possible, provide an estimate of time for cross-examination of the opposing Party's witnesses.

### e) Exhibits

A list of the exhibits expected to be identified and introduced at hearing for the purpose of developing the evidentiary record and a concise statement of the relevancy of each exhibit.

### f) Stipulations

A concise statement of any stipulations regarding the admissibility of an exhibit or expected testimony of any witness.

## g) Motions

A brief summary of any pre-hearing procedural or substantive motions. Except for any procedural or substantive motions that arise during the hearing, all pre-hearing procedural and substantive motions must be submitted in accordance with this scheduling order.

## h) Other

Any other appropriate comments, suggestions or information which may assist the Commission in the disposition of the case.

# 7. EXHIBIT BOOKS

The Parties shall cooperate with each other to determine whether they may submit a joint exhibit book. On or before the deadline set forth in the Deadline Schedule, below, the Parties shall submit to the Commission an electronic copy of either a joint or individual exhibit book(s) in PDF format consisting of the exhibits, if any, expected to be identified and introduced as evidence at the hearing. The exhibit book(s) must include an index of the exhibits and be Bates numbered.

#### 8. FORMAT, SUBMISSION AND SERVICE REQUIREMENTS

All documents must be within the designated page limitations as set forth in this scheduling order, unless a written request for additional pages is granted by the Chair based upon good cause. With the exception of exhibits, submitted documents must contain a caption and signature, and be consecutively page-numbered on 8 ½ by 11-inch pleading paper with double-spaced text and using a font no smaller than 12 characters per inch. Unless additional pages are authorized by the Chair for good cause, any motion, response, or reply shall be limited to 10 pages, exclusive of exhibits.

The Parties have stipulated to electronic service of all matters. The Parties shall submit all documents by the designated deadline not later than 5:00 p.m. (the Commission's close of business) electronically in PDF format to <a href="mailto:tchase@ethics.nv.gov">tchase@ethics.nv.gov</a>, with copy to <a href="mailto:dhayden@ethics.nv.gov">dhayden@ethics.nv.gov</a>. Upon submission, each Party shall serve its documents on the other Party by electronic mail as follows:

#### **EXECUTIVE DIRECTOR:**

Ross Armstrong, Esq.
Executive Director
Elizabeth J. Bassett, Esq.
Associate Counsel
cc: Kari Pedroza
Executive Assistant
Nevada Commission on Ethics
704 West Nye Lane, Suite 204
Carson City, NV 89703
rarmstrong@ethics.nv.gov
ebassett@ethics.nv.gov
k.pedroza@ethics.nv.gov

#### SUBJECT:

Bartolo Ramos c/o Rebecca Bruch, Esq. Lemons, Grundy & Eisenberg 6005 Plumas Street, Ste. 3 Reno, NV 89519 rb@lge.net jenn@lge.net

A certificate of service shall be included verifying service as required herein.

#### 9. EXTENSIONS, CONTINUANCES AND SCHEDULING MATTERS

The Parties may present to Commission Counsel written stipulations for extensions and continuances of the deadlines and hearing dates set forth in this Scheduling Order, which must be based upon good cause. If a stipulation is not reached between the Parties, all scheduling requests must be presented by motion. No unilateral request for extension or continuance of the scheduled deadlines or hearings will be granted except upon good cause as presented in written motion as may be determined by the Chair or the Commission.

Unless exigent circumstances are presented, the motion must be filed with sufficient time to provide any Party opposing the written motion <u>2 business days</u> to file a response thereto and the moving Party is provided <u>2 business days</u> to submit a reply in support of the motion. Extensions or continuances will not be granted except in the case of good cause shown and are not effective without the written consent of the Commission or Chair or Commission Counsel, as applicable. Please direct any scheduling matters including stipulations and motions to Commission Counsel, Tracy L. Chase, Esq., at (775) 687-5469 or via email at <a href="mailto:tchase@ethics.nv.gov">tchase.echics.nv.gov</a>.

#### 10. PREHEARING CONFERENCE

After the receipt of Prehearing Statements, the Commission may set a prehearing conference with the Parties to include representative counsel and the Chair or Vice Chair to be held before the adjudicatory hearing set for this matter. At the prehearing conference, the Chair may request presentations on any outstanding Adjudicatory Hearing Motions.

# 11. DEADLINE SCHEDULE [Highlights denote amendments]

SCHEDULE FOR DISCOVERY, MOTIONS, HEARINGS AND OTHER MATTERS	SERVE/FILE DEADLINE
Discovery Deadline	January 31, 2022
Executive Director's disclosures pursuant to NAC 281A.444(5) and (6)	February 3, 2022
File Discovery-related Motions	February 10, 2022
File response to Discovery-related Motions	February 17, 2022
File reply in support of Discovery-related Motions	February 24, 2022
File Dispositive Motions	April 21, 2022
File response to Dispositive Motions	May 2, 2022
File reply in support of Dispositive Motions, which filing serves to submit the motion for decision	May 9, 2022
Submit proposed stipulations to Commission Counsel for consideration at Dispositive Motions' Hearing	June 1, 2022
Hearing on Dispositive Motions or Stipulations	June 15, 2022
File Prehearing Statements for Adjudicatory Hearing	August 11, 2022
File Adjudicatory Hearing Motions	August 18, 2022
File response to Adjudicatory Hearing Motions	August 25, 2022
File reply supporting Adjudicatory Hearing Motions, which filing serves to submit the motion for decision	September 1, 2022
Submit Exhibit Books	September 6, 2022
Pretrial Conference with Chair/Vice-Chair	TBD
Submit proposed stipulations to Commission Counsel for Adjudicatory Hearing	September 13, 2022
Adjudicatory Hearing and/or Hearing on Adjudicatory Motions or Stipulation	September 21, 2022

The above synopsis schedule is not inclusive of all scheduling matters. Each party must comply with this Scheduling Order and all applicable provisions of NRS Chapter 281A and NAC Chapter 281A.

DATED:	April 25, 2022	/s/ Tracy L. Chase
		Tracy L. Chase, Esq. Commission Counsel

# **CERTIFICATE OF SERVICE**

I certify that I am an employee of the Nevada Commission on Ethics and that on this day in Carson City, Nevada, I transmitted a true and correct copy of the foregoing **Third-Amended Notice of Hearing and Scheduling Order** via electronic mail, return receipt requested, to the Parties and counsel of record, as follows:

### **Executive Director:**

Ross Armstrong, Esq. Email: <a href="mailto:rarmstrong@ethics.nv.gov">rarmstrong@ethics.nv.gov</a>

Executive Director

Elizabeth J. Bassett, Esq. Email: ebassett@ethics.nv.gov

**Associate Counsel** 

Kari Pedroza, Executive Assistant cc: k.pedroza@ethics.nv.gov

704 W. Nye Lane, Suite 204

Carson City, NV 89703

## Subject:

Bartolo Ramos
c/o Rebecca Bruch, Esq.
Lemons, Grundy & Eisenberg
6005 Plumas Street, Ste. 3

Email: rb@lge.net
cc: jenn@lge.net

Reno, NV 89519

DATED: April 25, 2022 Employee of the Nevada Commission on Ethics



# STATE OF NEVADA COMMISSION ON ETHICS

704 W. Nye Lane, Suite 204 Carson City, Nevada 89703 (775) 687-5469 • Fax (775) 687-1279 ethics.nv.gov

In re **Bartolo Ramos**, Public Works Director, Lander County, State of Nevada,

Ethics Complaint Case No.19-088C

Subject. /

### WAIVER OF STATUTORY TIME REQUIREMENTS: ADJUDICATORY HEARING



I, Bartolo Ramos, the above Subject, affirm that I am represented by counsel and have read the provisions of NRS 281A.745 and hereby freely and voluntarily waive the sixty (60) day statutory time limit for the Commission to hold the adjudicatory hearing and render an opinion in this matter on any date which is hereafter agreed to by my counsel of record or set forth in a Notice of Hearing and Scheduling Order issued in these proceedings.

Dated: 06-22-2021

Date received: 6/22/21

Employee of the Commission



# STATE OF NEVADA BEFORE THE NEVADA COMMISSION ON ETHICS

In re <b>Bartolo Ramos</b> , Public Works Director, Lander County, State of Nevada,	Ethics Complaint Case No.19-088C
Subject. /	
WAIVER OF NOTICE REQUIRED UNDER N CONSIDER CHARACTER, MISCONDUCT, O OF SUBJECT IN ETHICS COMPLAINT F	OR COMPETENCE
The Nevada Commission on Ethics ("Commissi earings, meetings, judicial review or appellate proceed onsider the Subject's character, misconduct or compe	lings and other proceedings

The Nevada Commission on Ethics ("Commission") may be holding various hearings, meetings, judicial review or appellate proceedings and other proceedings to consider the Subject's character, misconduct or competence as related to the above referenced Consolidated Ethics Complaints (collectively "Proceedings"). If the Proceedings are not exempt from Nevada's Open Meeting Law pursuant to NRS Chapters 241 or 281A, NRS 241.033(1) requires notice be personally served on Subject of the time and place of the meeting at least 5 working days before the meeting or sent by certified mail to the last known address at least 21 working days before the meeting. Subject agrees to comply with all noticed deadlines and scheduled dates for the Proceedings, including those noticed by a posted public agenda, scheduled with the parties or set forth in a Notice of Hearing and Scheduling Order and other issued Orders, including any amendments thereto.

I, Bartolo Ramos, understand the statutory notice requirements of NRS 241.033 and hereby knowingly and voluntarily waive my rights thereto associated with any Proceedings. In doing so, I expressly consent to any discussion of my qualifications, competence and character in the Proceedings. Prior to signing this waiver, I either had the opportunity to discuss this matter with my attorney or have voluntarily determined to proceed on my own accord, thereby waiving the right to consult with an attorney.

Dated this 22 day of June, 2021.

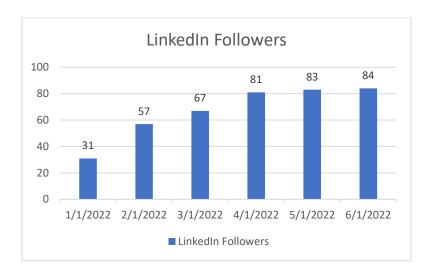
# Agenda Item 8

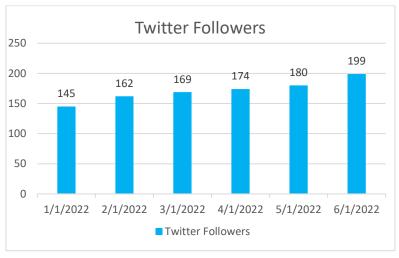


# Executive Director Report - May 2022

### **Education and Outreach**

Social Media Quarterly Follower Growth Data





## **Compare Twitter Impressions**

- May 2021 958 impressions
- May 2022 7,285 impressions

#### Training and Technical Assistance

- Nevada Silver State Health Exchange June 22/27 (virtual)
- City of North Las Vegas June 22 (in-person)
- Humboldt County General Hospital June 28 (in-person)
- City of Ely June 30 (in-person)
- Southern Nevada Regional Housing Authority July 11/12 (in-person)
- Nevada Public Civil Attorneys Conference September (in-person & broadcast)

## Online Learning System

The work program has been completed and submitted to the Governor's Finance Office for processing. Next steps include completing a Cloud Notification form and initial development of curriculum.

# FY22/23 Budget Update

Projections on unspent funding by category:

Personnel - \$29,621

In-State Travel - \$14,983

Operating - \$1,489

Court Reporting - \$1,605

Investigations - \$0

Information Services - -\$241

Training - \$927

# **Branding Check-in**

Commission and staff received a branding survey to complete. The responses will be compiled and organized for a discussion at the August Commission meeting.

Submitted: Ross E. Armstrong, Executive Director

Date: 6/8/2022

Current Case Log June 15, 2022

RFO No.	Date	Jurisdiction	Local	Subject	Requester	Status
M O NO.	Filed	Julisaletion	State	Jubject	Requester	Status
22-066C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXXX	Pending Jurisdicational Determination
22-065A	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Pending Opinion
22-064A	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-063C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	XXXXXXXXX	Pending Jurisdicational Determination
22-062C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	XXXXXXXXX	Pending Jurisdicational Determination
22-061C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Jurisdicational Determination
22-060A	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-059A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-058A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-057A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXXX	Pending Opinion
22-056A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-055C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-053A/	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Paradia a Outaba
22-054A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-052C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-051C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-050C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-049A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-038C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	xxxxxxxxx	Stipulation Pending - 6/15
22-033C/	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Panel Determination - 6/15
22-034C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	r enang raner sector milation 19725
22-031C/ 22-032C	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	xxxxxxxxx	Investigation Pending; Consolidated
22-030A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-026C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-005C	XXXXX	xxxxxx	xxxxx	xxxxxxxxx	xxxxxxxxx	Pending Panel Determination - 6/15
21-100C	XXXXX	xxxxxx	xxxxx	xxxxxxxxx	xxxxxxxxx	Adjudicatory Proceedings Pending
21-070C	XXXXX	xxxxxx	xxxxx	xxxxxxxxxx	xxxxxxxxx	Deferral Agreement 3/23/22; Compliance Period expires 3/23/25, Must file an Acknowledgment form by 4/23/22, must attend Ethics Training by 9/23/22
21-062C/ 21-082C	xxxxx	xxxxxx	xxxxx	xxxxxxxxxx	xxxxxxxxx	Adjudicatory Proceedings Pending
				个 FISCAL YEAR 2022 个		
21-032C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Deferral Agreement 2/1/22; Compliance Period expires 2/1/24
21-014C	xxxxx	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Deferral Agreement 3/7/22; Ltr of Instruction 3/7/22; Compliance Period expires 3/7/24, Attended Ethics Training, Ack filed
21-007C	xxxxx	xxxxxx	xxxxx	xxxxxxxxx	xxxxxxxxx	Deferral Agreement 8/16/21; Compliance Period expires 8/16/23, Attended Ethics Training
21-006C	XXXXX	XXXXXX	xxxxx	xxxxxxxxxx	XXXXXXXXX	Deferral Agreement 8/16/21; Compliance Period expires 8/16/23, Attended Ethics Training
20-083C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	XXXXXXXXX	Pending Panel Determination - 6/15

Current Case Log June 15, 2022

			1			
20-081C/ 20-085C	XXXXX	XXXXXX	xxxxx	xxxxxxxxxx	XXXXXXXXX	Adjudicatory Proceedings Pending
20-077C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Adjudicatory Proceedings Pending
20-076C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Adjudicatory Proceedings Pending
20-075C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Adjudicatory Proceedings Pending
20-064C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Stipulation Pending - 6/15
20-060C	xxxxx	XXXXXX	xxxxx	xxxxxxxxx	xxxxxxxxx	Stipulated Agreement 5/9/22; Must Attend Ethics Training by 7/11/22
				个 FISCAL YEAR 2021 个		
20-048C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Deferral Agreement Pending
19-126C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Adjudicatory Proceedings Pending
19-102C	XXXXX	XXXXXX	XXXXX	XXXXXXXXXX	xxxxxxxxx	Stipulated Agreement 1/19/22; Must Attend Ethics Training by 7/19/22
19-088C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Adjudicatory Proceedings Pending
				个 FISCAL YEAR 2020 个		
19-044C	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Deferral Agreement 2/20/20; Compliance Period expires 2/20/25
18-060C	xxxxx	XXXXXX	XXXXX	XXXXXXXXXX	xxxxxxxxx	Stipulated Agreement 11/18/19; \$13,881.36 Civil Penalty due 6/30/23 (\$6,268.93 Remaining as of 6/1/22)
				个 FISCAL YEAR 2019 个		

RFO No.	Date Filed	Jurisdiction	Local or State	Subject	Requester	Status
22-066C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Jurisdicational Determination
22-065A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-064A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-063C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Jurisdicational Determination
22-062C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Jurisdicational Determination
22-061C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Jurisdicational Determination
22-060A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-059A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-058A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-057A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-056A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-055C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-053A/ 22-054A	XXXXX	xxxxxx	xxxxx	xxxxxxxxx	XXXXXXXXX	Pending Opinion
22-052C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-051C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-050C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-049A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-048C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn (see 22-047A Duplicate)
22-047C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
22-046C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed (No Jurisdiction)
22-045A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn (see 22-044A Duplicate)
22-044A	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Opinion issued 5/17/22; Confidentiality Waived
22-043C	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction)
22-042C	xxxxx	XXXXXX	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction)
22-040C/ 22-041C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed (No Jurisdiction)

			1			2
22-039C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed (No Jurisdiction)
22-038C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Stipulation Pending - 6/15
22-037C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
22-036C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
22-035C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed w/Ltr of Instruction 5/16/22
22-033C/ 22-034C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Pending Panel Determination - 6/15
22-031C/ 22-032C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Investigation Pending
22-030A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Opinion
22-029C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
22-028A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn
22-027C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
22-026C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Investigation Pending
22-025C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
22-024C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
22-023C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
22-022C	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
22-021C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed w/Ltr of Caution 4/12/22
22-020C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed w/Ltr of Caution 4/12/22
22-019A	xxxxx	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Opinion issued 5/18/22; Confidentiality Waived
22-018A	XXXXX	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Opinion issued 5/18/22; Confidentiality Waived
22-017A	xxxxx	xxxxxx	xxxxx	xxxxxxxxx	xxxxxxxxx	Opinion issued 5/18/22; Confidentiality Waived
22-016C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed w/Ltr of Instruction 4/11/22
22-015A	xxxxx	xxxxxx	xxxxx	XXXXXXXXX	xxxxxxxxx	Opinion issued 3/14/22; Abstract issued 4/7/22

22-014A	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Opinion issued 3/21/22; Abstract issued 4/18/22
22-013C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXXX	Dismissed w/Ltr of Instruction 3/30/22
22-012A	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Opinion issued 3/21/22; Abstract issued 4/7/22
22-011A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn
22-010A	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Opinion issued 3/7/22; Confidentiality Waived
22-009A	XXXXX	xxxxx	xxxxx	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction)
22-008A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn
22-007A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn
22-006A	XXXXX	xxxxx	xxxxx	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction)
22-005C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Pending Panel Determination - 6/15
22-004C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed by Panel - 4/25/22
22-003A	XXXXX	xxxxx	xxxxx	xxxxxxxxx	xxxxxxxxx	Opinion issued 3/21/22; Abstract issued 5/17/22
22-002C	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
22-001A	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Opinion issued 2/7/22; Abstract issued 2/17/22
21-108C	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-107A	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction)
21-106C	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-105A	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Opinion issued 1/18/22; Abstract issued 2/7/22
21-104C	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-103C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed w/Ltr of Instruction 1/10/22
21-102A	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Opinion issued 12/21/21; Abstract issued 1/18/22
21-101A	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxx	Opinion issued 1/5/22; Abstract issued 1/18/22
21-100C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Adjudicatory Proceedings Pending

21-099C	xxxxx	xxxxxx	XXXXX	XXXXXXXXX	xxxxxxxxx	Dismissed
						(No Jurisdiction; No Investigation)
21-098C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed
						(No Jurisdiction; No Investigation)
21-097C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXXX	Dismissed
						(No Jurisdiction; No Investigation)
21-096C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXXX	Dismissed
						(No Jurisdiction; No Investigation)
21-095C	XXXXX	xxxxxx	xxxxxx xxxxxx	XXXXXXXXX	XXXXXXXXXX	Dismissed
						(No Jurisdiction; No Investigation)
21-094A	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXXX	Dismissed
	70000	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	(No Jurisdiction)
21-093C	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	XXXXXXXXXX	Dismissed
21 0550	XXXXX	XXXXXX		XXXXXXXX		(No Jurisdiction; No Investigation)
21-092A	xxxxx	XXXXXX	XXXXX	xxxxxxxxx	xxxxxxxxxx	Opinion issued 1/6/22;
21-092A	^^^^	*****	****	******	********	Abstract issued 1/20/22
21-091A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXXX	Withdrawn
24 2222	10000	200000		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Dismissed
21-090C	090C XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	(No Jurisdiction; No Investigation)
						Dismissed
21-089C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	(No Jurisdiction; No Investigation)
						Opinion issued 12/20/21;
21-088A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Abstract issued 1/18/22
						Dismissed
21-087C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	(No Jurisdiction; No Investigation)
						Dismissed
21-086C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	- 3311110000
						(No Jurisdiction; No Investigation)
21-085C	XXXXX	XXXXXX	xxxxx	XXXXXXXXX	XXXXXXXXX	Dismissed
						(No Jurisdiction; No Investigation)
21-084C	XXXXX	xxxxxx	xxxx xxxx	XXXXXXXXX	xxxxxxxxx	Dismissed
	, , , , , ,			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		(No Jurisdiction; No Investigation)
21-083C	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	xxxxxxxxx	Dismissed
21-083C				***************************************		(No Jurisdiction; No Investigation)
24 0046	VVVVV	WWW	VVVVV	MANANAN	xxxxxxxxx	Dismissed by Panel w/ Ltr of Instruction -
21-081C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX		3/21/22
24 6224	10000	20000	2000	V000000000	1000000000	Opinion issued 12/22/21;
21-080A XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Abstract issued 1/18/22	
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21-079A	xxxxx	XXXXXX	xxxxx	XXXXXXXXX	xxxxxxxxx	Opinion issued 12/15/21; Abstract issued 1/5/22
21-078C	xxxxx	XXXXXX	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed
	70000		70000	70000000	70000000	(No Jurisdiction; No Investigation)
21-077C	xxxxx	XXXXXX	xxxxx	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-076C	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-075A	XXXXX	XXXXXX	xxxxx	XXXXXXXXX	XXXXXXXXX	Dismissed (No Jurisdiction)
21-074A	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Opinion issued 11/8/21; Abstract issued 12/1/21
21-073	Case Mana	gement Vendor Test				
21-072	Number no	ot assigned to submitted Cas	e/ Case Ma	nagement Test Prompted		
21-071C	xxxxx	xxxxxx	xxxxx	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-070C	xxxxx	XXXXXX	xxxxx	XXXXXXXXX	xxxxxxxxx	Deferral Agreement 3/23/22; Compliance Period expires 3/23/25, Must file an Acknowledgment form by 4/23/22, must attend Ethics Training by 9/23/22
21-069C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-068	Case Mana	gement Vendor Test				
21-067C	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-066C	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-065C	XXXXX	xxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-064C	xxxxx	xxxxxx	xxxxx	XXXXXXXXX	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-063A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXXX	Withdrawn
21-062C/ 21-082AC	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Adjudicatory Proceedings Pending
21-061C	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-060C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn
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21-059C	XXXXX	xxxxxx	xxxxx	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-058C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Dismissed w/Ltr of Instruction 10/5/21
21-057C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-056C	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXXX	Dismissed w/Ltr of Instruction 9/22/21
21-055A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	xxxxxxxxx	Opinion issued 9/9/21; Abstract issued 11/2/21
21-054A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Opinion issued 8/19/21; Confidentiality Waived
21-053A	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	XXXXXXXXX	Opinion issued 8/19/21; Abstract issued 9/22/21
21-052A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn (see 21-051A Duplicate)
21-051A	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Opinion issued 8/19/21; Abstract issued 9/16/21
21-050A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXXX	Withdrawn (see 21-051A Duplicate)
21-049C	XXXXX	XXXXXX	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)
21-048A	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction)
21-047A	XXXXX	XXXXXX	XXXXX	XXXXXXXXX	XXXXXXXXX	Withdrawn
21-046A	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction)
21-045C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-044C	XXXXX	xxxxxx	XXXXX	XXXXXXXXX	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-043C	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	xxxxxxxxx	Dismissed (No Jurisdiction; No Investigation)
21-042C	XXXXX	xxxxxx	XXXXX	xxxxxxxxx	XXXXXXXXX	Dismissed (No Jurisdiction; No Investigation)